

EXHIBIT 29

**IA Business Backdated Trades on the 2-90000 and 3-00000 Counterparty Accounts
November 1978 to December 1985**

| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------------|
| 2-90000 | 12/31/1978 | 11/16/1978 | 2,464 | | 27.13 | WALTER JIM CORP |
| 2-90000 | 12/31/1978 | 11/16/1978 | 1,685 | | 27.25 | WALTER JIM CORP |
| 2-90000 | 12/31/1978 | 11/16/1978 | | 3,131 | 27.13 | WALTER JIM CORP |
| 2-90000 | 12/31/1978 | 11/16/1978 | 3,131 | | 27.13 | WALTER JIM CORP |
| 2-90000 | 12/31/1978 | 11/16/1978 | | 2,150 | 27.25 | WALTER JIM CORP |
| 2-90000 | 12/31/1978 | 11/16/1978 | 2,150 | | 27.25 | WALTER JIM CORP |
| 2-90000 | 12/31/1978 | 11/15/1978 | | 9,600 | 31.75 | RELIANCE GROUP INC |
| 2-90000 | 12/31/1978 | 11/14/1978 | | 3,842 | 28.63 | WALTER JIM CORP |
| 2-90000 | 12/31/1978 | 11/14/1978 | 4,890 | | 28.63 | WALTER JIM CORP |
| 2-90000 | 12/31/1978 | 11/14/1978 | | 4,890 | 28.63 | WALTER JIM CORP |
| 2-90000 | 12/31/1978 | 11/14/1978 | | 19,310 | 16.25 | IU INTL CORP |
| 2-90000 | 12/31/1978 | 11/10/1978 | 846 | | 10.63 | IU INTL CORP |
| 2-90000 | 12/31/1978 | 11/10/1978 | 8,000 | | 10.50 | IU INTL CORP |
| 2-90000 | 12/31/1978 | 11/10/1978 | 6,000 | | 10.50 | IU INTL CORP |
| 2-90000 | 12/31/1978 | 11/10/1978 | 7,000 | | 10.50 | IU INTL CORP |
| 2-90000 | 12/31/1978 | 11/10/1978 | 5,050 | | 10.50 | IU INTL CORP |
| 2-90000 | 12/31/1978 | 11/10/1978 | 4,000 | | 10.50 | IU INTL CORP |
| 2-90000 | 12/31/1978 | 11/6/1978 | | 287,000 | 88.00 | FILMWAYS INC |
| 2-90000 | 12/31/1978 | 11/6/1978 | 287,000 | | 88.00 | FILMWAYS INC |
| 2-90000 | 12/31/1978 | 11/6/1978 | | 305,000 | 88.00 | FILMWAYS INC |
| 2-90000 | 12/31/1978 | 11/6/1978 | 305,000 | | 88.00 | FILMWAYS INC |
| 2-90000 | 12/31/1978 | 11/6/1978 | 152,000 | | 88.00 | FILMWAYS INC |
| 2-90000 | 12/31/1978 | 11/6/1978 | 143,000 | | 88.00 | FILMWAYS INC |
| 2-90000 | 12/31/1978 | 11/6/1978 | 144,000 | | 88.00 | FILMWAYS INC |
| 2-90000 | 12/31/1978 | 11/3/1978 | 287 | | 96.00 | FILMWAYS INC |
| 2-90000 | 12/31/1978 | 11/3/1978 | | 287 | 96.00 | FILMWAYS INC |
| 2-90000 | 12/31/1978 | 11/3/1978 | 305 | | 96.00 | FILMWAYS INC |
| 2-90000 | 12/31/1978 | 11/3/1978 | | 305 | 96.00 | FILMWAYS INC |
| 2-90000 | 12/31/1978 | 11/3/1978 | | 143 | 96.00 | FILMWAYS INC |
| 2-90000 | 12/31/1978 | 11/3/1978 | | 144 | 96.00 | FILMWAYS INC |
| 2-90000 | 12/31/1978 | 11/3/1978 | | 152 | 96.00 | FILMWAYS INC |
| 2-90000 | 12/31/1978 | 11/3/1978 | | 153 | 96.00 | FILMWAYS INC |
| 2-90000 | 1/31/1979 | 12/6/1978 | | 7,139 | 16.00 | Flickinger S M Inc |
| 2-90000 | 1/31/1979 | 12/6/1978 | 4,782 | | 14.88 | Flickinger S M Inc |
| 2-90000 | 1/31/1979 | 12/6/1978 | 3,070 | | 15.00 | Flickinger S M Inc |
| 2-90000 | 1/31/1979 | 10/30/1978 | | 1,000 | 3.00 | Food Fair Inc |
| 2-90000 | 1/31/1979 | 10/30/1978 | | 1,000 | 3.00 | Food Fair Inc |
| 2-90000 | 2/28/1979 | 1/24/1979 | | 100,000 | 94.50 | US Treasury Notes |
| 2-90000 | 2/28/1979 | 1/23/1979 | | 3,357 | 25.75 | ZJHIRMACK Enterprises Inc |
| 2-90000 | 2/28/1979 | 1/4/1979 | | 658 | 34.88 | Travelers Corp |
| 2-90000 | 2/28/1979 | 1/4/1979 | | 270 | 35.00 | Travelers Corp |
| 2-90000 | 2/28/1979 | 1/4/1979 | 2,501 | | 37.13 | Texas Gas Transmission Corp |
| 2-90000 | 2/28/1979 | 1/4/1979 | 1,665 | | 37.25 | Texas Gas Transmission Corp |
| 2-90000 | 2/28/1979 | 1/4/1979 | 331 | | 34.88 | Travelers Corp |
| 2-90000 | 2/28/1979 | 1/4/1979 | 140 | | 35.00 | Travelers Corp |
| 2-90000 | 2/28/1979 | 1/3/1979 | 844 | | 37.38 | Travelers Corp |
| 2-90000 | 2/28/1979 | 1/3/1979 | | 4,166 | 36.00 | Texas Gas Transmission Corp |
| 2-90000 | 2/28/1979 | 1/3/1979 | | 429 | 37.38 | Travelers Corp |
| 2-90000 | 2/28/1979 | 12/26/1978 | | 1,921 | 168.50 | United Technologies Corp |
| 2-90000 | 2/28/1979 | 12/26/1978 | 995 | | 38.88 | United Technologies Corp |
| 2-90000 | 2/28/1979 | 12/26/1978 | 5,000 | | 39.00 | United Technologies Corp |
| 2-90000 | 2/28/1979 | 12/26/1978 | 2,535 | | 39.00 | United Technologies Corp |
| 2-90000 | 3/31/1979 | 2/21/1979 | | 4,217 | 75.88 | Bendix Corp |
| 2-90000 | 3/31/1979 | 2/21/1979 | 1,854 | | 38.13 | Bendix Corp |
| 2-90000 | 3/31/1979 | 2/21/1979 | 6,790 | | 38.25 | Bendix Corp |
| 2-90000 | 3/31/1979 | 2/23/1979 | 9,000 | | 17.50 | Pacific Northwest Bell Tel Co |
| 2-90000 | 3/31/1979 | 2/23/1979 | 1,431 | | 17.50 | Pacific Northwest Bell Tel Co |
| 2-90000 | 3/31/1979 | 2/23/1979 | 8,250 | | 17.63 | Pacific Northwest Bell Tel Co |
| 2-90000 | 3/31/1979 | 2/20/1979 | | 93,405 | 1/64 | Pacific Northwest Bell Tel Co |
| 2-90000 | 3/31/1979 | 2/1/1979 | | 80,000 | 100.00 | New York Stock Dorm City Univ |
| 2-90000 | 3/31/1979 | 2/1/1979 | | 20,000 | 100.00 | N Y S Dormitory City Univ |
| 2-90000 | 3/31/1979 | 2/1/1979 | 80,000 | | 100.00 | N Y S Dormitory City Univ |
| 2-90000 | 3/31/1979 | 2/1/1979 | | 80,000 | 100.00 | N Y S Dormitory City Univ |

**IA Business Backdated Trades on the 2-90000 and 3-00000 Counterparty Accounts
November 1978 to December 1985**

| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------------|
| 2-90000 | 3/31/1979 | 1/16/1979 | | 904 | 27.63 | Gould Inc |
| 2-90000 | 3/31/1979 | 1/16/1979 | 436 | | 29.25 | Gould Inc |
| 2-90000 | 3/31/1979 | 1/16/1979 | 445 | | 29.38 | Gould Inc |
| 2-90000 | 6/30/1979 | 5/9/1979 | | 3,613 | 24.38 | Bangor Punta Corp |
| 2-90000 | 6/30/1979 | 5/9/1979 | 1,803 | | 25.25 | Bangor Punta Corp |
| 2-90000 | 6/30/1979 | 5/9/1979 | 1,810 | | 25.38 | Bangor Punta Corp |
| 2-90000 | 6/30/1979 | 4/2/1979 | | 200,000 | 84.00 | Kinder Care Learning Ctrs Inc |
| 2-90000 | 6/30/1979 | 4/2/1979 | | 11,000 | 84.00 | Kinder Care Learning Ctrs Inc |
| 2-90000 | 6/30/1979 | 4/2/1979 | | 17,000 | 84.00 | Kinder Care Learning Ctrs Inc |
| 2-90000 | 6/30/1979 | 4/2/1979 | 200,000 | | 84.00 | Kinder Care Learning Ctrs Inc |
| 2-90000 | 6/30/1979 | 4/2/1979 | 11,000 | | 84.00 | Kinder Care Learning Ctrs Inc |
| 2-90000 | 6/30/1979 | 4/2/1979 | 17,000 | | 84.00 | Kinder Care Learning Ctrs Inc |
| 2-90000 | 8/31/1979 | 7/24/1979 | 1,367 | | 26.88 | Costal STS Gas Corp |
| 2-90000 | 8/31/1979 | 7/24/1979 | 2,910 | | 27.00 | Costal STS Gas Corp |
| 2-90000 | 8/31/1979 | 7/24/1979 | | 1,447 | 26.88 | Costal STS Gas Corp |
| 2-90000 | 8/31/1979 | 7/24/1979 | | 3,060 | 27.00 | Costal STS Gas Corp |
| 2-90000 | 8/31/1979 | 7/23/1979 | | 4,277 | 26.00 | Costal STS Gas Corp |
| 2-90000 | 8/31/1979 | 7/23/1979 | 4,507 | | 26.00 | Costal STS Gas Corp |
| 2-90000 | 8/31/1979 | 7/18/1979 | 133,000 | | 118.00 | Tenneco Corp |
| 2-90000 | 8/31/1979 | 7/18/1979 | | 108,000 | 118.00 | Tenneco Corp |
| 2-90000 | 8/31/1979 | 7/18/1979 | | 4,383 | 35.25 | Tenneco Corp |
| 2-90000 | 8/31/1979 | 7/18/1979 | | 285 | 35.38 | Tenneco Corp |
| 2-90000 | 8/31/1979 | 7/18/1979 | 3,560 | | 35.25 | Tenneco Corp |
| 2-90000 | 8/31/1979 | 7/18/1979 | 230 | | 35.38 | Tenneco Corp |
| 2-90000 | 9/30/1979 | 8/21/1979 | 153,000 | | 113.00 | Cooper Labs Inc |
| 2-90000 | 9/30/1979 | 8/21/1979 | | 7,997 | 21.63 | Cooper Labs Inc |
| 2-90000 | 9/30/1979 | 8/21/1979 | | 148,000 | 113.00 | Cooper Labs Inc |
| 2-90000 | 9/30/1979 | 8/21/1979 | 737 | | 21.63 | Cooper Labs Inc |
| 2-90000 | 9/30/1979 | 8/21/1979 | 7,000 | | 21.63 | Cooper Labs Inc |
| 2-90000 | 9/30/1979 | 7/6/1979 | | 5,906 | 18.25 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | | 9,000 | 18.38 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | | 2,765 | 18.38 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | | 3,729 | 18.25 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | | 7,435 | 18.38 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | | 6,774 | 18.25 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | | 8,390 | 18.38 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | | 2,236 | 18.25 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | | 4,450 | 18.38 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | | 5,681 | 18.25 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | | 7,035 | 18.38 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | | 5,681 | 18.25 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | | 7,035 | 18.38 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | | 5,886 | 18.25 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | | 9,000 | 18.38 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | | 2,725 | 18.38 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | | 3,231 | 18.25 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | | 6,440 | 18.38 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | | 4,477 | 18.25 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | | 2,985 | 18.38 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | | 3,366 | 18.25 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | | 2,245 | 18.38 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | | 9,000 | 18.25 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | | 1,180 | 18.25 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | | 8,000 | 18.38 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | | 1,700 | 18.38 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | 9,000 | | 18.00 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | 309 | | 18.00 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | 8,630 | | 18.13 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | 5,773 | | 18.00 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | 5,560 | | 18.13 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | 9,000 | | 18.00 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | 713 | | 18.00 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | 5,680 | | 18.13 | City Investing Co |

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November 1978 to December 1985

| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-----------------------------|
| 2-90000 | 9/30/1979 | 7/6/1979 | 3,517 | | 18.00 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | 3,270 | | 18.13 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | 8,149 | | 18.00 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | 4,760 | | 18.13 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | 9,000 | | 18.00 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | 278 | | 18.00 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | 8,600 | | 18.13 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | 5,098 | | 18.00 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | 4,720 | | 18.13 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | 5,915 | | 18.00 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | 1,660 | | 18.13 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | 4,446 | | 18.00 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | 1,250 | | 18.13 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | 8,149 | | 18.00 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | 4,760 | | 18.13 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | 7,000 | | 18.00 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | 7,041 | | 18.00 | City Investing Co |
| 2-90000 | 9/30/1979 | 7/6/1979 | 6,140 | | 18.13 | City Investing Co |
| 2-90000 | 10/31/1979 | 9/21/1979 | | 10,536 | 26.00 | Reserve Oil & Gas Co |
| 2-90000 | 10/31/1979 | 9/17/1979 | 12,800 | | 38.75 | MCI Communications Corp |
| 2-90000 | 10/31/1979 | 9/17/1979 | | 12,800 | 38.75 | MCI Communications Corp |
| 2-90000 | 10/31/1979 | 9/17/1979 | 12,800 | | 38.75 | MCI Communications Corp |
| 2-90000 | 10/31/1979 | 9/13/1979 | | 73,142 | 5.94 | MCI Communications Corp |
| 2-90000 | 10/31/1979 | 9/12/1979 | 10,536 | | 17.63 | Reserve Oil & Gas Co |
| 2-90000 | 10/31/1979 | 8/24/1979 | | 10,415 | 56.25 | Caterpillar Tractor Co |
| 2-90000 | 10/31/1979 | 8/23/1979 | 450,000 | | 121.50 | Lucky Stores Inc |
| 2-90000 | 10/31/1979 | 8/17/1979 | 20,000 | | 37.50 | Reserve Oil & Gas Co |
| 2-90000 | 10/31/1979 | 8/17/1979 | | 20,000 | 37.50 | Reserve Oil & Gas Co |
| 2-90000 | 10/31/1979 | 8/17/1979 | 25,000 | | 16.25 | Reserve Oil & Gas Co |
| 2-90000 | 10/31/1979 | 8/17/1979 | 20,000 | | 37.50 | Reserve Oil & Gas Co |
| 2-90000 | 10/31/1979 | 8/14/1979 | | 31,982 | 16.38 | Lucky Stores Inc |
| 2-90000 | 10/31/1979 | 8/14/1979 | 526,000 | | 118.00 | Caterpillar Tractor Co |
| 2-90000 | 10/31/1979 | 8/9/1979 | | 29,200 | 22.13 | Reserve Oil & Gas Co |
| 2-90000 | 10/31/1979 | 8/9/1979 | | 25,000 | 22.75 | Reserve Oil & Gas Co |
| 2-90000 | 11/30/1979 | 10/24/1979 | 7,167 | | 25.13 | City Investing Co |
| 2-90000 | 11/30/1979 | 10/24/1979 | | 7,048 | 25.13 | City Investing Co |
| 2-90000 | 11/30/1979 | 10/18/1979 | 107 | | 75.38 | Atlantic Richfield Co |
| 2-90000 | 11/30/1979 | 10/18/1979 | 1,395 | | 75.50 | Atlantic Richfield Co |
| 2-90000 | 11/30/1979 | 10/18/1979 | | 134 | 75.38 | Atlantic Richfield Co |
| 2-90000 | 11/30/1979 | 10/18/1979 | | 1,710 | 75.50 | Atlantic Richfield Co |
| 2-90000 | 11/30/1979 | 10/17/1979 | 1,537 | | 87.75 | Atlantic Richfield Co |
| 2-90000 | 11/30/1979 | 10/17/1979 | | 1,252 | 87.75 | Atlantic Richfield Co |
| 2-90000 | 12/31/1979 | 11/12/1979 | 200 | | 19.38 | Kaiser Alum & Chem Corp |
| 2-90000 | 12/31/1979 | 11/8/1979 | 300 | | 29.63 | Reynolds Corp |
| 2-90000 | 12/31/1979 | 11/1/1979 | 410 | | 22.63 | RCA Corp |
| 2-90000 | 12/31/1979 | 10/30/1979 | 100 | | 37.00 | Alean Alum Ltd |
| 2-90000 | 12/31/1979 | 10/26/1979 | 1,000 | | 10.25 | Skyline Corp |
| 2-90000 | 12/31/1979 | 10/26/1979 | 4,400 | | 52.63 | American Tel & Teleg Co |
| 2-90000 | 12/31/1979 | 10/26/1979 | 5,300 | | 10.25 | Skyline Corp |
| 2-90000 | 12/31/1979 | 10/25/1979 | 800 | | 24.88 | Asarco Inc |
| 2-90000 | 12/31/1979 | 10/25/1979 | 3,817 | | 12.25 | Central Penn Natl Corp |
| 2-90000 | 1/31/1980 | 12/21/1979 | 900 | | 37.75 | Brown Tom Inc |
| 2-90000 | 1/31/1980 | 12/3/1979 | | 900 | 28.25 | Brown Tom Inc |
| 2-90000 | 1/31/1980 | 11/26/1979 | 2,000 | | 3.13 | Food Fair Inc |
| 2-90000 | 1/31/1980 | 11/26/1979 | 2,000 | | 3.13 | Food Fair Inc |
| 2-90000 | 1/31/1980 | 11/26/1979 | | 2,000 | 3.13 | Food Fair Inc |
| 2-90000 | 2/29/1980 | 12/13/1979 | 50,000 | | 97.75 | Albany South Mall Constr |
| 2-90000 | 2/29/1980 | 12/13/1979 | | 50,000 | 97.75 | Albany South Mall Constr |
| 2-90000 | 2/29/1980 | 6/20/1979 | 2,000 | | 2.88 | Countrywide CR Inds Inc |
| 2-90000 | 4/30/1980 | 3/24/1980 | | 11,904 | 21.00 | Offshore Logistics Inc |
| 2-90000 | 4/30/1980 | 3/24/1980 | | 12,422 | 20.13 | Houston Oil & Minerals Corp |
| 2-90000 | 4/30/1980 | 3/24/1980 | | 12,422 | 20.13 | Houston Oil & Minerals Corp |
| 2-90000 | 4/30/1980 | 3/24/1980 | 12,936 | | 18.25 | Offshore Logistics Inc |

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November 1978 to December 1985

| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-----------------------------------|
| 2-90000 | 4/30/1980 | 3/24/1980 | 10,347 | | 22.75 | Houston Oil & Minerals Corp |
| 2-90000 | 4/30/1980 | 3/24/1980 | 10,347 | | 22.75 | Houston Oil & Minerals Corp |
| 2-90000 | 4/30/1980 | 3/24/1980 | 11,527 | | 22.75 | Houston Oil & Minerals Corp |
| 2-90000 | 4/30/1980 | 3/24/1980 | 3,832 | | 34.63 | Rio Grande Inds Inc |
| 2-90000 | 4/30/1980 | 3/24/1980 | | 7,857 | 21.00 | Offshore Logistics Inc |
| 2-90000 | 4/30/1980 | 3/24/1980 | 8,540 | | 18.25 | Offshore Logistics Inc |
| 2-90000 | 4/30/1980 | 3/24/1980 | 23,809 | | 21.00 | Offshore Logistics Inc |
| 2-90000 | 4/30/1980 | 3/24/1980 | | 13,838 | 22.75 | Houston Oil & Minerals Corp |
| 2-90000 | 4/30/1980 | 3/24/1980 | | 25,880 | 18.25 | Offshore Logistics Inc |
| 2-90000 | 4/30/1980 | 1/4/1980 | 20 | | 5.00 | General Motors Call June 50 |
| 2-90000 | 4/30/1980 | 1/4/1980 | 25 | | 3.88 | Dupont July 40 Calls |
| 2-90000 | 5/31/1980 | 4/21/1980 | 9,523 | | 23.00 | Offshore Logistics Inc |
| 2-90000 | 5/31/1980 | 4/21/1980 | 9,000 | | 22.63 | Offshore Logistics Inc |
| 2-90000 | 5/31/1980 | 4/21/1980 | 875 | | 22.50 | Offshore Logistics Inc |
| 2-90000 | 5/31/1980 | 4/21/1980 | 36,049 | | 22.50 | Offshore Logistics Inc |
| 2-90000 | 5/31/1980 | 4/21/1980 | 3,700 | | 22.50 | Offshore Logistics Inc |
| 2-90000 | 5/31/1980 | 4/21/1980 | 2,470 | | 22.50 | Offshore Logistics Inc |
| 2-90000 | 5/31/1980 | 4/21/1980 | 10,370 | | 22.50 | Offshore Logistics Inc |
| 2-90000 | 5/31/1980 | 4/21/1980 | 7,857 | | 22.50 | Offshore Logistics Inc |
| 2-90000 | 5/31/1980 | 4/21/1980 | 11,904 | | 22.50 | Offshore Logistics Inc |
| 2-90000 | 5/31/1980 | 4/21/1980 | 11,904 | | 22.50 | Offshore Logistics Inc |
| 2-90000 | 5/31/1980 | 4/21/1980 | 2,857 | | 22.50 | Offshore Logistics Inc |
| 2-90000 | 5/31/1980 | 4/21/1980 | 6,149 | | 22.50 | Offshore Logistics Inc |
| 2-90000 | 5/31/1980 | 4/21/1980 | 14,220 | | 22.50 | Offshore Logistics Inc |
| 2-90000 | 5/31/1980 | 4/21/1980 | 2,652 | | 22.50 | Offshore Logistics Inc |
| 2-90000 | 5/31/1980 | 4/21/1980 | 7,957 | | 22.50 | Offshore Logistics Inc |
| 2-90000 | 5/31/1980 | 4/8/1980 | | 10,000 | 85.00 | Union Free Sch Dist 24 Brookhaven |
| 2-90000 | 5/31/1980 | 4/8/1980 | | 6,000 | 84.75 | Union Free Sch Dist 1 Oyster Bay |
| 2-90000 | 5/31/1980 | 4/8/1980 | | 5,000 | 57.00 | NYC Housing Authority |
| 2-90000 | 5/31/1980 | 4/8/1980 | | 5,000 | 53.75 | NYC Housing Authority |
| 2-90000 | 5/31/1980 | 4/8/1980 | | 20,000 | 51.25 | NYC Housing Authority |
| 2-90000 | 5/31/1980 | 4/8/1980 | | 6,000 | 40.00 | NYC Housing Authority |
| 2-90000 | 6/30/1980 | 5/16/1980 | 2,000 | | 87.25 | Michigan Bell Telephone Co |
| 2-90000 | 6/30/1980 | 5/16/1980 | 43,000 | | 87.25 | Michigan Bell Telephone Co |
| 2-90000 | 6/30/1980 | 5/14/1980 | 10,000 | | 65.85 | NYS Hog Fin Agv |
| 2-90000 | 6/30/1980 | 5/14/1980 | 10,000 | | 87.83 | Clarkstown NY |
| 2-90000 | 6/30/1980 | 5/14/1980 | 10,000 | | 91.55 | Mun Assist Corp NYC |
| 2-90000 | 6/30/1980 | 5/14/1980 | 5,000 | | 68.29 | Country Nassau |
| 2-90000 | 6/30/1980 | 5/14/1980 | 10,000 | | 77.83 | Monticello NY |
| 2-90000 | 6/30/1980 | 5/14/1980 | 10,000 | | 102.11 | Pine Bush NY Cent Sch Dist |
| 2-90000 | 6/30/1980 | 5/14/1980 | 5,000 | | 63.85 | NYS Dorm Auth St Univ Ser F |
| 2-90000 | 6/30/1980 | 5/14/1980 | 5 | | 86.31 | Empire State |
| 2-90000 | 6/30/1980 | 5/14/1980 | 5 | | 86.31 | Empire State |
| 2-90000 | 6/30/1980 | 5/14/1980 | 5 | | 79.30 | Empire State |
| 2-90000 | 6/30/1980 | 5/14/1980 | 5 | | 79.30 | Empire State |
| 2-90000 | 6/30/1980 | 5/14/1980 | 10,000 | | 65.56 | NYS HSG Fin Agv |
| 2-90000 | 6/30/1980 | 5/14/1980 | 20,000 | | 86.92 | County Nassau |
| 2-90000 | 6/30/1980 | 5/8/1980 | | 200 | 105.13 | Public Service Elect & Gas Co |
| 2-90000 | 6/30/1980 | 5/8/1980 | | 500 | 26.50 | Harcourt Brace Jovanovich Inc |
| 2-90000 | 6/30/1980 | 5/8/1980 | | 500 | 28.25 | General Tel & Electrs Corp |
| 2-90000 | 6/30/1980 | 5/8/1980 | | 1,000 | 13.50 | Public Service Co Colorado |
| 2-90000 | 6/30/1980 | 5/8/1980 | | 50,000 | 86.50 | NYS House Fin Auth |
| 2-90000 | 6/30/1980 | 5/8/1980 | | 25,000 | 87.00 | NYS Power Auth & Genl Purp |
| 2-90000 | 6/30/1980 | 5/8/1980 | | 50,000 | 95.00 | NYS Power Auth Rev & Gen Purp |
| 2-90000 | 6/30/1980 | 5/8/1980 | | 40,000 | 88.88 | NYS Project Finl Agency |
| 2-90000 | 6/30/1980 | 5/8/1980 | | 20,000 | 86.00 | Texaco Inc |
| 2-90000 | 6/30/1980 | 5/8/1980 | | 20,000 | 78.00 | So Central Bell Telephone Co |
| 2-90000 | 6/30/1980 | 5/8/1980 | | 40,000 | 92.00 | Exxon Pipeline Co |
| 2-90000 | 6/30/1980 | 5/1/1980 | 500 | | 10.25 | Skyline Corp |
| 2-90000 | 6/30/1980 | 5/1/1980 | | 600 | 10.25 | Skyline Corp |
| 2-90000 | 6/30/1980 | 4/16/1980 | | 4,200 | 56.50 | Intel Corp |
| 2-90000 | 7/31/1980 | 6/20/1980 | | 25,000 | 89.50 | Fed Govt Natl Mtg Assoc |
| 2-90000 | 8/31/1980 | 6/24/1980 | 4,100 | | 26.25 | Air Fla Sys Inc |

IA Business Backdated Trades on the 2-90000 and 3-00000 Counterparty Accounts
November 1978 to December 1985

| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|----------------------|
| 2-90000 | 4/30/1981 | 3/11/1981 | | 50,000 | 88.73 | Nassua County Co |
| 2-90000 | 7/31/1981 | 6/18/1981 | 600 | | 14.50 | Adams Express Co |
| 2-90000 | 7/31/1981 | 6/10/1981 | 750 | | 29.88 | Telecredit Inc |
| 2-90000 | 7/31/1981 | 6/10/1981 | 1,000 | | 29.88 | Telecredit Inc |
| 2-90000 | 6/30/1982 | 5/17/1982 | | 3,400 | 35.25 | Cities SVC Co |
| 2-90000 | 7/31/1982 | 6/22/1982 | 4,000 | | 32.63 | Trane Co |
| 2-90000 | 7/31/1982 | 6/22/1982 | 8,700 | | 32.63 | Trane Co |
| 2-90000 | 7/31/1982 | 6/22/1982 | | 4,000 | 32.63 | Trane Co |
| 2-90000 | 7/31/1982 | 6/22/1982 | | 8,700 | 32.63 | Trane Co |
| 2-90000 | 8/31/1982 | 7/21/1982 | | 39,500 | 55.88 | Cities SVC Co |
| 2-90000 | 8/31/1982 | 7/21/1982 | | 8,000 | 56.13 | Cities SVC Co |
| 2-90000 | 8/31/1982 | 7/21/1982 | | 8,000 | 56.13 | Cities SVC Co |
| 2-90000 | 8/31/1982 | 7/21/1982 | | 12,000 | 56.13 | Cities SVC Co |
| 2-90000 | 8/31/1982 | 7/21/1982 | | 8,000 | 56.13 | Cities SVC Co |
| 2-90000 | 8/31/1982 | 7/21/1982 | | 38,000 | 55.88 | Cities SVC Co |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 10,000 | 14.50 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 7,600 | 14.50 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 1,800 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 1,800 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 7,000 | 14.75 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 1,800 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 1,800 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 3,500 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 1,800 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 900 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 7,000 | 14.75 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 7,000 | 14.75 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 900 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 900 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 900 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 1,800 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 1,800 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 1,800 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 1,800 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 1,800 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 3,500 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | 7,600 | | 14.50 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 10,000 | | 14.50 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 3,500 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 900 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 900 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 900 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 900 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 3,500 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | 1,800 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 3,500 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 3,500 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 3,500 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 3,500 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 3,500 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 3,500 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 3,500 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 3,500 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 3,500 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 10,000 | 14.50 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 3,400 | 14.50 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 10,000 | 14.50 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 3,400 | 14.50 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 4,700 | 14.50 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 3,400 | 14.50 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 7,600 | 14.50 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 7,600 | 14.50 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 7,600 | 14.50 | Tandem Computers Inc |

**IA Business Backdated Trades on the 2-90000 and 3-00000 Counterparty Accounts
November 1978 to December 1985**

| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|----------------------|
| 2-90000 | 9/30/1982 | 8/12/1982 | | 50,000 | 14.50 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 100,000 | 14.50 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 7,600 | 14.50 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 23,200 | 14.50 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 23,200 | 14.50 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 1,800 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 3,400 | 14.50 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 1,800 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 3,500 | 14.25 | Tandem Computers Inc |
| 2-90000 | 9/30/1982 | 8/12/1982 | 1,800 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 7,000 | | 14.75 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 1,800 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 1,800 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 1,800 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 900 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 7,000 | | 14.75 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 7,000 | | 14.75 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 900 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 900 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 900 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 1,800 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 1,800 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 1,800 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 1,800 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 1,800 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 3,500 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 3,500 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 3,500 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 900 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 900 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 900 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 3,500 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 3,500 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 3,500 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 3,500 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 3,500 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 3,500 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 3,500 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 3,500 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 3,500 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 3,500 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 3,500 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 10,000 | | 14.50 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 3,400 | | 14.50 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 10,000 | | 14.50 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 3,400 | | 14.50 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 4,700 | | 14.50 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 3,400 | | 14.50 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 7,600 | | 14.50 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 7,600 | | 14.50 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 7,600 | | 14.50 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 50,000 | | 14.50 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | | 100,000 | 14.50 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 7,600 | | 14.50 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 23,200 | | 14.50 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 23,200 | | 14.50 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 1,800 | | 14.25 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 3,400 | | 14.50 | Tandy Corp |
| 2-90000 | 9/30/1982 | 8/12/1982 | 1,800 | | 14.25 | Tandy Corp |
| 2-90000 | 12/31/1982 | 11/17/1982 | 9,800 | | 41.25 | GTE Corp |
| 2-90000 | 12/31/1982 | 11/17/1982 | 11,200 | | 41.00 | GTE Corp |
| 2-90000 | 12/31/1982 | 11/17/1982 | 10,300 | | 40.38 | GTE Corp |

**IA Business Backdated Trades on the 2-90000 and 3-00000 Counterparty Accounts
November 1978 to December 1985**

| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 2-90000 | 12/31/1982 | 11/17/1982 | 8,300 | | 40.63 | GTE Corp |
| 2-90000 | 12/31/1982 | 11/15/1982 | | 9,500 | 41.75 | GTE Corp |
| 2-90000 | 12/31/1982 | 11/15/1982 | | 11,500 | 41.50 | GTE Corp |
| 2-90000 | 12/31/1982 | 11/15/1982 | | 8,900 | 40.88 | GTE Corp |
| 2-90000 | 12/31/1982 | 11/15/1982 | | 9,700 | 41.00 | GTE Corp |
| 2-90000 | 3/31/1983 | 1/13/1983 | | 800 | 27.50 | MCI Communications Corp |
| 2-90000 | 4/30/1983 | 3/22/1983 | 10,200 | | 48.25 | Waste Mgmt Inc |
| 2-90000 | 4/30/1983 | 3/7/1983 | | 10,000 | 10.25 | Robotic Vision Sys Inc |
| 2-90000 | 4/30/1983 | 3/7/1983 | | 10,000 | 10.25 | Robotic Vision Sys Inc |
| 2-90000 | 4/30/1983 | 3/1/1983 | | 10,200 | 58.00 | Waste Mgmt Inc |
| 2-90000 | 4/30/1983 | 1/24/1983 | 24,000 | | 33.63 | MCI Communications Corp |
| 2-90000 | 6/30/1983 | 4/27/1983 | 2,138 | | 26.00 | Chrysler Corp |
| 2-90000 | 8/31/1983 | 6/22/1983 | | 1,900 | 17.88 | Trans World Corp |
| 2-90000 | 8/31/1983 | 1/13/1983 | | 2,800 | 27.50 | MCI Communications Corp |
| 2-90000 | 11/30/1983 | 10/7/1983 | 3,300 | | 10.38 | Flow Gen Inc |
| 2-90000 | 11/30/1983 | 10/7/1983 | 2,000 | | 23.75 | Apple Computer Inc |
| 2-90000 | 11/30/1983 | 10/7/1983 | 2,600 | | 10.38 | Flow Gen Inc |
| 2-90000 | 12/31/1983 | 11/1/1983 | 7,500 | | 124.13 | Texas Instrs Inc |
| 2-90000 | 12/31/1983 | 8/19/1983 | | 27,170 | 43.00 | Gulf Corporation |
| 2-90000 | 12/31/1983 | 8/19/1983 | 11,627 | | 43.00 | Gulf & Westn Inds Inc |
| 2-90000 | 12/31/1983 | 8/19/1983 | 29,925 | | 43.00 | Gulf & Westn Inds Inc |
| 2-90000 | 12/31/1983 | 8/19/1983 | 27,170 | | 43.00 | Gulf & Westn Inds Inc |
| 2-90000 | 12/31/1983 | 8/19/1983 | | 29,925 | 43.00 | Gulf Corporation |
| 2-90000 | 12/31/1983 | 8/19/1983 | | 11,627 | 43.00 | Gulf Corporation |
| 2-90000 | 2/29/1984 | 1/20/1984 | | 100,000 | 77.75 | Allied Corp Notes |
| 2-90000 | 2/29/1984 | 1/19/1984 | | 100,000 | 72.63 | Allied Corp Notes |
| 2-90000 | 2/29/1984 | 1/19/1984 | | 49,600 | 72.75 | Allied Corp Notes |
| 2-90000 | 2/29/1984 | 1/16/1984 | | 137,700 | 78.00 | Allied Corp Notes |
| 2-90000 | 2/29/1984 | 1/16/1984 | | 5,357 | 106.00 | Allied Corp |
| 2-90000 | 2/29/1984 | 1/5/1984 | | 15,000 | 56.00 | Allied Corp |
| 2-90000 | 2/29/1984 | 1/5/1984 | | 12,860 | 55.88 | Allied Corp |
| 2-90000 | 2/29/1984 | 12/23/1983 | 30,100 | | 19.25 | Diamond Shamrock Corp |
| 2-90000 | 2/29/1984 | 12/23/1983 | | 30,100 | 19.25 | Diamond Intl Corp |
| 2-90000 | 2/29/1984 | 11/2/1983 | | 29,300 | 127.00 | Texas Instrs Inc |
| 2-90000 | 2/29/1984 | 10/27/1983 | 29,300 | | 106.50 | Texas Instrs Inc |
| 2-90000 | 4/30/1984 | 3/14/1984 | | 2,200 | 49.13 | Schlumberger Ltd |
| 2-90000 | 4/30/1984 | 3/14/1984 | 1,100 | | 49.13 | Schlumberger Ltd |
| 2-90000 | 4/30/1984 | 3/14/1984 | 7,500 | | 111.13 | International Business Machs |
| 2-90000 | 4/30/1984 | 3/14/1984 | | 5,800 | 111.13 | International Business Machs |
| 2-90000 | 4/30/1984 | 3/14/1984 | 4,900 | | 33.13 | AMR Corp |
| 2-90000 | 4/30/1984 | 3/14/1984 | 1,700 | | 29.25 | American Express Company |
| 2-90000 | 4/30/1984 | 3/14/1984 | 1,200 | | 90.13 | Digital Equip Corp |
| 2-90000 | 4/30/1984 | 3/14/1984 | 2,100 | | 51.75 | General Electric Co |
| 2-90000 | 4/30/1984 | 3/14/1984 | | 5,700 | 29.25 | American Express Company |
| 2-90000 | 4/30/1984 | 3/14/1984 | | 3,300 | 49.13 | Schlumberger Ltd |
| 2-90000 | 4/30/1984 | 3/14/1984 | 5,700 | | 29.25 | American Express Company |
| 2-90000 | 4/30/1984 | 3/14/1984 | 4,500 | | 90.13 | Digital Equip Corp |
| 2-90000 | 4/30/1984 | 3/14/1984 | | 900 | 111.13 | International Business Machs |
| 2-90000 | 4/30/1984 | 3/14/1984 | 1,825 | | 49.13 | Schlumberger Ltd |
| 2-90000 | 4/30/1984 | 3/14/1984 | 3,200 | | 51.75 | General Electric Co |
| 2-90000 | 4/30/1984 | 3/14/1984 | | 2,700 | 111.13 | International Business Machs |
| 2-90000 | 4/30/1984 | 3/14/1984 | | 3,200 | 51.75 | General Electric Co |
| 2-90000 | 4/30/1984 | 3/14/1984 | | 1,200 | 90.13 | Digital Equip Corp |
| 2-90000 | 4/30/1984 | 3/14/1984 | | 2,100 | 51.75 | General Electric Co |
| 2-90000 | 4/30/1984 | 3/14/1984 | | 1,800 | 90.13 | Digital Equip Corp |
| 2-90000 | 4/30/1984 | 3/14/1984 | 3,000 | | 111.13 | International Business Machs |
| 2-90000 | 4/30/1984 | 3/14/1984 | | 3,000 | 111.13 | International Business Machs |
| 2-90000 | 4/30/1984 | 3/14/1984 | | 4,900 | 33.13 | AMR Corp |
| 2-90000 | 4/30/1984 | 3/14/1984 | 5,800 | | 111.13 | International Business Machs |
| 2-90000 | 4/30/1984 | 3/14/1984 | 2,200 | | 49.13 | Schlumberger Ltd |
| 2-90000 | 4/30/1984 | 3/14/1984 | | 1,100 | 49.13 | Schlumberger Ltd |
| 2-90000 | 4/30/1984 | 3/14/1984 | 3,300 | | 49.13 | Schlumberger Ltd |
| 2-90000 | 4/30/1984 | 3/14/1984 | 2,700 | | 111.13 | International Business Machs |

**IA Business Backdated Trades on the 2-90000 and 3-00000 Counterparty Accounts
November 1978 to December 1985**

| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 2-90000 | 4/30/1984 | 3/14/1984 | | 1,700 | 29.25 | American Express Company |
| 2-90000 | 4/30/1984 | 3/14/1984 | 1,800 | | 90.13 | Digital Equip Corp |
| 2-90000 | 4/30/1984 | 3/14/1984 | | 7,500 | 111.13 | International Business Machs |
| 2-90000 | 4/30/1984 | 3/14/1984 | | 1,825 | 49.13 | Schlumberger Ltd |
| 2-90000 | 4/30/1984 | 3/14/1984 | 900 | | 111.13 | International Business Machs |
| 2-90000 | 4/30/1984 | 3/13/1984 | | 3,400 | 49.00 | Schlumberger Ltd |
| 2-90000 | 4/30/1984 | 3/13/1984 | 2,200 | | 49.00 | Schlumberger Ltd |
| 2-90000 | 4/30/1984 | 3/13/1984 | | 150 | 33.00 | AMR Corp |
| 2-90000 | 4/30/1984 | 3/13/1984 | 10,200 | | 89.75 | Digital Equip Corp |
| 2-90000 | 4/30/1984 | 3/13/1984 | 700 | | 111.00 | International Business Machs |
| 2-90000 | 4/30/1984 | 3/13/1984 | | 4,600 | 89.75 | Digital Equip Corp |
| 2-90000 | 4/30/1984 | 3/13/1984 | | 6,600 | 49.00 | Schlumberger Ltd |
| 2-90000 | 4/30/1984 | 3/13/1984 | 3,400 | | 49.00 | Schlumberger Ltd |
| 2-90000 | 4/30/1984 | 3/13/1984 | | 18,175 | 49.00 | Schlumberger Ltd |
| 2-90000 | 4/30/1984 | 3/13/1984 | | 5,000 | 33.00 | AMR Corp |
| 2-90000 | 4/30/1984 | 3/13/1984 | 20,000 | | 29.13 | American Express Company |
| 2-90000 | 4/30/1984 | 3/13/1984 | 2,400 | | 51.63 | General Electric Co |
| 2-90000 | 4/30/1984 | 3/13/1984 | | 2,200 | 49.00 | Schlumberger Ltd |
| 2-90000 | 4/30/1984 | 3/13/1984 | 1,800 | | 89.75 | Digital Equip Corp |
| 2-90000 | 4/30/1984 | 3/13/1984 | | 11,200 | 29.13 | American Express Company |
| 2-90000 | 4/30/1984 | 3/13/1984 | 7,500 | | 29.13 | American Express Company |
| 2-90000 | 4/30/1984 | 3/13/1984 | 11,200 | | 29.13 | American Express Company |
| 2-90000 | 4/30/1984 | 3/13/1984 | | 16,400 | 111.00 | International Business Machs |
| 2-90000 | 4/30/1984 | 3/13/1984 | | 1,200 | 89.75 | Digital Equip Corp |
| 2-90000 | 4/30/1984 | 3/13/1984 | | 1,800 | 89.75 | Digital Equip Corp |
| 2-90000 | 4/30/1984 | 3/13/1984 | 17,600 | | 51.63 | General Electric Co |
| 2-90000 | 4/30/1984 | 3/13/1984 | | 8,000 | 51.63 | General Electric Co |
| 2-90000 | 4/30/1984 | 3/13/1984 | 11,200 | | 111.00 | International Business Machs |
| 2-90000 | 4/30/1984 | 3/13/1984 | | 17,600 | 51.63 | General Electric Co |
| 2-90000 | 4/30/1984 | 3/13/1984 | | 2,100 | 51.63 | General Electric Co |
| 2-90000 | 4/30/1984 | 3/13/1984 | | 11,200 | 111.00 | International Business Machs |
| 2-90000 | 4/30/1984 | 3/13/1984 | 6,600 | | 49.00 | Schlumberger Ltd |
| 2-90000 | 4/30/1984 | 3/13/1984 | 5,000 | | 33.00 | AMR Corp |
| 2-90000 | 4/30/1984 | 3/13/1984 | 4,600 | | 89.75 | Digital Equip Corp |
| 2-90000 | 4/30/1984 | 3/13/1984 | | 10,200 | 89.75 | Digital Equip Corp |
| 2-90000 | 4/30/1984 | 3/13/1984 | 8,000 | | 51.63 | General Electric Co |
| 2-90000 | 4/30/1984 | 3/13/1984 | | 12,500 | 33.00 | AMR Corp |
| 2-90000 | 4/30/1984 | 3/13/1984 | | 700 | 111.00 | International Business Machs |
| 2-90000 | 4/30/1984 | 3/13/1984 | 150 | | 33.00 | AMR Corp |
| 2-90000 | 4/30/1984 | 3/13/1984 | 16,400 | | 111.00 | International Business Machs |
| 2-90000 | 4/30/1984 | 3/13/1984 | 12,500 | | 33.00 | AMR Corp |
| 2-90000 | 4/30/1984 | 3/13/1984 | 1,200 | | 89.75 | Digital Equip Corp |
| 2-90000 | 4/30/1984 | 3/13/1984 | | 20,000 | 29.13 | American Express Company |
| 2-90000 | 4/30/1984 | 3/13/1984 | 18,175 | | 49.00 | Schlumberger Ltd |
| 2-90000 | 4/30/1984 | 3/13/1984 | | 2,100 | 29.13 | American Express Company |
| 2-90000 | 4/30/1984 | 3/13/1984 | 2,100 | | 51.63 | General Electric Co |
| 2-90000 | 4/30/1984 | 3/12/1984 | 4,300 | | 29.00 | American Express Company |
| 2-90000 | 4/30/1984 | 3/12/1984 | | 200 | 29.00 | American Express Company |
| 2-90000 | 4/30/1984 | 3/12/1984 | 100 | | 111.00 | International Business Machs |
| 2-90000 | 4/30/1984 | 3/12/1984 | 200 | | 29.00 | American Express Company |
| 2-90000 | 4/30/1984 | 3/12/1984 | | 3,600 | 29.00 | American Express Company |
| 2-90000 | 4/30/1984 | 3/12/1984 | | 100 | 111.00 | International Business Machs |
| 2-90000 | 4/30/1984 | 1/25/1984 | 1,900 | | 41.50 | Trans World Corp |
| 2-90000 | 4/30/1984 | 8/24/1983 | | 13,000 | 27.25 | AMR Corp |
| 2-90000 | 4/30/1984 | 6/20/1983 | 5,600 | | 52.25 | MCI Communications Corp |
| 2-90000 | 7/31/1984 | 3/14/1984 | | 4,000 | 29.13 | American Express Company |
| 2-90000 | 7/31/1984 | 3/14/1984 | 4,000 | | 90.13 | American Express Company |
| 2-90000 | 8/31/1984 | 7/24/1984 | | 17,600 | 26.50 | Intel Corp |
| 2-90000 | 8/31/1984 | 7/23/1984 | | 24,000 | 6.25 | MCI Communications Corp |
| 2-90000 | 8/31/1984 | 7/23/1984 | | 50,000 | 6.25 | MCI Communications Corp |
| 2-90000 | 8/31/1984 | 7/6/1984 | | 18,000 | 11.38 | Amdahl Corp |
| 2-90000 | 8/31/1984 | 7/3/1984 | | 10,000 | 11.63 | Amdahl Corp |
| 2-90000 | 8/31/1984 | 7/3/1984 | | 31,500 | 28.88 | American Express Company |

IA Business Backdated Trades on the 2-90000 and 3-00000 Counterparty Accounts
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|--------------------------------|
| 2-90000 | 8/31/1984 | 7/2/1984 | | 26,000 | 11.63 | Amdahl Corp |
| 2-90000 | 8/31/1984 | 7/2/1984 | | 22,000 | 7.63 | MCI Communications Corp |
| 2-90000 | 8/31/1984 | 6/29/1984 | | 23,000 | 27.50 | Apple Computer Inc |
| 2-90000 | 8/31/1984 | 6/29/1984 | | 30,000 | 7.50 | MCI Communications Corp |
| 2-90000 | 8/31/1984 | 6/27/1984 | | 9,000 | 103.13 | International Business Machs |
| 2-90000 | 8/31/1984 | 6/27/1984 | 9,000 | | 103.13 | International Business Machs |
| 2-90000 | 8/31/1984 | 6/27/1984 | | 1,000 | 103.13 | International Business Machs |
| 2-90000 | 8/31/1984 | 6/27/1984 | | 1,000 | 103.13 | International Business Machs |
| 2-90000 | 8/31/1984 | 6/27/1984 | | 1,000 | 103.13 | International Business Machs |
| 2-90000 | 8/31/1984 | 6/27/1984 | | 1,000 | 103.13 | International Business Machs |
| 2-90000 | 8/31/1984 | 6/27/1984 | | 980 | 103.13 | International Business Machs |
| 2-90000 | 8/31/1984 | 6/27/1984 | | 990 | 103.13 | International Business Machs |
| 2-90000 | 8/31/1984 | 6/27/1984 | | 180 | 103.13 | International Business Machs |
| 2-90000 | 8/31/1984 | 5/2/1984 | 52,000 | | 9.25 | MCI Communications Corp |
| 2-90000 | 8/31/1984 | 5/2/1984 | 23,000 | | 33.00 | Apple Computer Inc |
| 2-90000 | 8/31/1984 | 5/2/1984 | 31,500 | | 30.75 | American Express Company |
| 2-90000 | 8/31/1984 | 4/27/1984 | 54,000 | | 12.88 | Amdahl Corp |
| 2-90000 | 1/31/1985 | 12/19/1984 | 25,000 | | 37.50 | Travelers Corp |
| 2-90000 | 1/31/1985 | 12/19/1984 | | 25,500 | 37.50 | Travelers Corp |
| 2-90000 | 1/31/1985 | 7/31/1984 | | 400 | 83.13 | Digital Equip Corp |
| 2-90000 | 1/31/1985 | 7/31/1984 | | 400 | 83.00 | Digital Equip Corp |
| 2-90000 | 1/31/1985 | 6/22/1984 | | 270 | 85.50 | Digital Equip Corp |
| 2-90000 | 1/31/1985 | 3/31/1984 | 555 | | 89.75 | Digital Equip Corp |
| 2-90000 | 1/31/1985 | 3/31/1984 | | 555 | 89.75 | Digital Equip Corp |
| 2-90000 | 1/31/1985 | 3/13/1984 | | 555 | 89.75 | Digital Equip Corp |
| 2-90000 | 2/28/1985 | 1/3/1985 | 550 | | 25.75 | Merrill Lynch & Co Inc |
| 2-90000 | 2/28/1985 | 12/19/1984 | | 35,000 | 8.00 | MCI Communications Corp |
| 2-90000 | 3/31/1985 | 2/7/1985 | | 670 | 70.63 | Upjohn Co |
| 2-90000 | 3/31/1985 | 2/6/1985 | | 2,800 | 35.88 | AMR Corp |
| 2-90000 | 3/31/1985 | 2/5/1985 | | 4,400 | 34.00 | Northrop Corp |
| 2-90000 | 3/31/1985 | 2/5/1985 | | 6,400 | 15.63 | Sony Corp |
| 2-90000 | 3/31/1985 | 2/5/1985 | | 2,500 | 41.00 | Travelers Corp |
| 2-90000 | 5/31/1985 | 4/23/1985 | | 3,700 | 43.50 | American Express Company |
| 2-90000 | 5/31/1985 | 4/23/1985 | | 3,800 | 43.50 | American Express Company |
| 2-90000 | 5/31/1985 | 4/17/1985 | | 990 | 30.63 | Merrill Lynch & Co Inc |
| 2-90000 | 5/31/1985 | 4/17/1985 | | 30,000 | 30.63 | Merrill Lynch & Co Inc |
| 2-90000 | 5/31/1985 | 4/17/1985 | | 3,900 | 30.63 | Merrill Lynch & Co Inc |
| 2-90000 | 5/31/1985 | 2/15/1985 | | 7,100 | 39.13 | AMR Corp |
| 2-90000 | 5/31/1985 | 2/14/1985 | 20,000 | | 14.00 | National Semiconductor Corp |
| 2-90000 | 5/31/1985 | 2/14/1985 | | 40,000 | 49.25 | Atlantic Richfield Co |
| 2-90000 | 5/31/1985 | 2/11/1985 | 50,000 | | 30.25 | Apple Computer Inc |
| 2-90000 | 5/31/1985 | 2/12/1985 | 50,000 | | 30.25 | Apple Computer Inc |
| 2-90000 | 5/31/1985 | 2/12/1985 | | 17,000 | 46.00 | Atlantic Richfield Co |
| 2-90000 | 5/31/1985 | 2/5/1985 | 14,500 | | 14.00 | National Semiconductor Corp |
| 2-90000 | 5/31/1985 | 2/5/1985 | 5,150 | | 15.63 | Sony Corp |
| 2-90000 | 5/31/1985 | 7/25/1984 | 9,900 | | 25.75 | MCI Communications Corp |
| 2-90000 | 5/31/1985 | 7/25/1984 | 10,000 | | 25.75 | MCI Communications Corp |
| 2-90000 | 5/31/1985 | 7/25/1984 | | 9,900 | 25.75 | Millipore Corp |
| 2-90000 | 5/31/1985 | 7/25/1984 | | 10,000 | 25.75 | Millipore Corp |
| 2-90000 | 5/31/1985 | 7/24/1984 | 11,000 | | 26.50 | MCI Communications Corp |
| 2-90000 | 5/31/1985 | 7/24/1984 | | 11,000 | 26.50 | Millipore Corp |
| 2-90000 | 5/31/1985 | 7/23/1984 | 5,100 | | 26.00 | MCI Communications Corp |
| 2-90000 | 5/31/1985 | 7/23/1984 | 10,000 | | 26.00 | MCI Communications Corp |
| 2-90000 | 5/31/1985 | 7/23/1984 | | 5,100 | 26.00 | Millipore Corp |
| 2-90000 | 5/31/1985 | 7/23/1984 | | 10,000 | 26.00 | Millipore Corp |
| 2-90000 | 6/30/1985 | 5/7/1985 | | 6,200 | 89.50 | Upjohn Co |
| 2-90000 | 6/30/1985 | 5/7/1985 | 7,800 | | 89.50 | Upjohn Co |
| 2-90000 | 6/30/1985 | 5/2/1985 | 10,000 | | 87.75 | Upjohn Co |
| 2-90000 | 6/30/1985 | 4/10/1985 | | 350,000 | 111.28 | City Norfolk VA Co |
| 2-90000 | 6/30/1985 | 4/10/1985 | | 150,000 | 110.82 | City Norfolk VA Co |
| 2-90000 | 9/30/1985 | 7/30/1985 | | 9,265 | 47.75 | Wal-mart Stores Inc |
| 2-90000 | 10/31/1985 | 9/23/1985 | 9,500 | | 89.75 | American Information Tech Corp |
| 2-90000 | 10/31/1985 | 9/23/1985 | 12,900 | | 88.88 | Bell Atlantic Corp |

IA Business Backdated Trades on the 2-90000 and 3-00000 Counterparty Accounts
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|---|
| 2-90000 | 10/31/1985 | 9/23/1985 | | 4,000 | 26.50 | Intel Corp |
| 2-90000 | 10/31/1985 | 9/23/1985 | | 9,500 | 89.75 | American Information Tech Corp |
| 2-90000 | 10/31/1985 | 9/23/1985 | | 12,900 | 88.88 | Bell Atlantic Corp |
| 2-90000 | 10/31/1985 | 9/18/1985 | 2,745 | | 40.50 | American Express Company |
| 2-90000 | 10/31/1985 | 9/17/1985 | | 15,600 | 41.50 | Delta Airlines Inc |
| 2-90000 | 10/31/1985 | 9/17/1985 | | 49,500 | 41.88 | RCA Corp |
| 2-90000 | 10/31/1985 | 9/16/1985 | | 21,200 | 45.00 | Morgan JP & Co Inc |
| 2-90000 | 10/31/1985 | 9/13/1985 | | 29,050 | 66.50 | General Motors Corp |
| 2-90000 | 10/31/1985 | 9/10/1985 | 28,100 | | 68.75 | General Motors Corp |
| 2-90000 | 10/31/1985 | 9/9/1985 | 44,940 | | 46.13 | RCA Corp |
| 2-90000 | 10/31/1985 | 9/6/1985 | | 26,730 | 37.50 | First Boston Inc |
| 2-90000 | 10/31/1985 | 9/5/1985 | 19,800 | | 48.25 | Morgan JP & Co Inc |
| 2-90000 | 10/31/1985 | 9/4/1985 | | 22,250 | 40.50 | American Express Company |
| 2-90000 | 10/31/1985 | 8/28/1985 | 24,300 | | 41.25 | First Boston Inc |
| 2-90000 | 10/31/1985 | 8/27/1985 | 21,390 | | 42.13 | American Express Company |
| 2-90000 | 10/31/1985 | 8/27/1985 | 14,000 | | 46.25 | Delta Airlines Inc |
| 2-90000 | 10/31/1985 | 6/11/1985 | | 5,370 | 29.25 | Santa Fe So Pacific Corp |
| 2-90000 | 11/30/1985 | 10/2/1985 | | 46,000 | 107.45 | New York State General Obligation Reg |
| 2-90000 | 11/30/1985 | 10/2/1985 | | 170,000 | 107.45 | New York State General Obligation Reg |
| 2-90000 | 11/30/1985 | 10/2/1985 | | 840,000 | 107.45 | New York State General Obligation Reg |
| 2-90000 | 11/30/1985 | 10/2/1985 | | 870,000 | 104.41 | New York State General Obligation Reg |
| 2-90000 | 11/30/1985 | 10/2/1985 | 840,000 | | 107.45 | New York State General Obligation Reg |
| 2-90000 | 11/30/1985 | 10/2/1985 | 870,000 | | 104.41 | New York State General Obligation Reg |
| 2-90000 | 11/30/1985 | 10/2/1985 | 46,000 | | 107.45 | New York State General Obligation Reg |
| 2-90000 | 11/30/1985 | 10/2/1985 | 170,000 | | 107.45 | New York State General Obligation Reg |
| 2-90000 | 12/31/1985 | 11/1/1985 | 6,900 | | 119.50 | General Foods Corp |
| 2-90000 | 12/31/1985 | 11/1/1985 | | 54,000 | 119.50 | General Foods Corp |
| 2-90000 | 12/31/1985 | 11/1/1985 | 54,000 | | 119.50 | General Foods Corp |
| 2-90000 | 12/31/1985 | 11/1/1985 | 1,500 | | 119.50 | General Foods Corp |
| 2-90000 | 12/31/1985 | 11/1/1985 | 54,000 | | 119.50 | General Foods Corp |
| 3-00000 | 6/30/1980 | 5/22/1980 | 122 | | 20.25 | Chromalloy Amern Corp |
| 3-00000 | 6/30/1980 | 5/22/1980 | 5,025 | | 20.38 | Chromalloy Amern Corp |
| 3-00000 | 6/30/1980 | 5/22/1980 | | 751 | 20.25 | Chromalloy Amern Corp |
| 3-00000 | 6/30/1980 | 5/16/1980 | | 2,000 | 87.25 | Michigan Bell Telephone Co 9.600 10/01/2008 |
| 3-00000 | 6/30/1980 | 5/16/1980 | 2,000 | | 87.25 | Michigan Bell Telephone Co 9.600 10/01/2008 |
| 3-00000 | 6/30/1980 | 5/16/1980 | | 43,000 | 87.25 | Michigan Bell Telephone Co 9.600 10/01/2008 |
| 3-00000 | 6/30/1980 | 5/16/1980 | 43,000 | | 87.25 | Michigan Bell Telephone Co 9.600 10/01/2008 |
| 3-00000 | 7/31/1980 | 5/27/1980 | 8 | | 38.75 | Grace W R & Co |
| 3-00000 | 7/31/1980 | 5/27/1980 | 15 | | 38.63 | Grace W R & Co |
| 3-00000 | 7/31/1980 | 5/22/1980 | | 4,400 | 20.38 | Chromalloy Amern Corp |
| 3-00000 | 8/31/1980 | 7/23/1980 | 14,000 | | 18.13 | MCI Communications Corp |
| 3-00000 | 8/31/1980 | 7/22/1980 | 32,142 | | 9.13 | MCI Communications Corp |
| 3-00000 | 8/31/1980 | 7/14/1980 | | 32,142 | 10.13 | MCI Communications Corp |
| 3-00000 | 8/31/1980 | 6/17/1980 | | 4,100 | 26.25 | Air Fla Sys Inc |
| 3-00000 | 8/31/1980 | 6/24/1980 | 4,200 | | 25.38 | Valtec Corp |
| 3-00000 | 8/31/1980 | 6/17/1980 | 680 | | 35.00 | United States Gypsum Co |
| 3-00000 | 8/31/1980 | 6/17/1980 | 4,100 | | 26.25 | Air Fla Sys Inc |
| 3-00000 | 8/31/1980 | 6/16/1980 | | 9,099 | 29.88 | United States Gypsum Co |
| 3-00000 | 8/31/1980 | 6/10/1980 | | 4,378 | 55.88 | Pitney Bowes Inc |
| 3-00000 | 8/31/1980 | 6/10/1980 | | 4,378 | 55.88 | Pitney Bowes Inc |
| 3-00000 | 8/31/1980 | 6/10/1980 | | 4,378 | 55.88 | Pitney Bowes Inc |
| 3-00000 | 8/31/1980 | 6/17/1980 | 7,509 | | 34.88 | United States Gypsum Co |
| 3-00000 | 8/31/1980 | 5/27/1980 | 8 | | 38.75 | Grace W R & Co |
| 3-00000 | 9/30/1980 | 7/23/1980 | 7,428 | | 18.25 | MCI Communications Corp |
| 3-00000 | 10/31/1980 | 9/23/1980 | | 46 | 215.00 | Crum & Forster |
| 3-00000 | 10/31/1980 | 9/23/1980 | 49,200 | | 20.75 | MCI Communications Corp |
| 3-00000 | 10/31/1980 | 9/23/1980 | | 73,800 | 13.63 | MCI Communications Corp |
| 3-00000 | 10/31/1980 | 9/26/1980 | 34,926 | | 27.50 | Richmond Tank Car Co |
| 3-00000 | 10/31/1980 | 9/22/1980 | 269 | | 34.63 | Crum & Forster |
| 3-00000 | 10/31/1980 | 9/22/1980 | 25 | | 34.75 | Crum & Forster |
| 3-00000 | 10/31/1980 | 9/22/1980 | | 35,400 | 20.63 | MCI Communications Corp |
| 3-00000 | 10/31/1980 | 9/22/1980 | 40,000 | | 20.63 | MCI Communications Corp |
| 3-00000 | 10/31/1980 | 9/22/1980 | 53,100 | | 13.50 | MCI Communications Corp |

IA Business Backdated Trades on the 2-90000 and 3-00000 Counterparty Accounts
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|---------------------------|
| 3-00000 | 10/31/1980 | 9/22/1980 | | 60,000 | 13.50 | MCI Communications Corp |
| 3-00000 | 10/31/1980 | 9/11/1980 | 10,450 | | 57.00 | Rio Grande Inds Inc |
| 3-00000 | 10/31/1980 | 9/11/1980 | | 12,000 | 57.00 | Rio Grande Inds Inc |
| 3-00000 | 10/31/1980 | 9/10/1980 | | 20,900 | 35.50 | Rio Grande Inds Inc |
| 3-00000 | 10/31/1980 | 9/10/1980 | 24,000 | | 35.50 | Rio Grande Inds Inc |
| 3-00000 | 10/31/1980 | 8/22/1980 | | 579 | 17.25 | Hazelton Labs Corp |
| 3-00000 | 10/31/1980 | 7/31/1980 | | 864 | 297.00 | Atlantic Richfield Co |
| 3-00000 | 10/31/1980 | 7/31/1980 | | 864 | 297.00 | Atlantic Richfield Co |
| 3-00000 | 10/31/1980 | 7/31/1980 | | 864 | 297.00 | Atlantic Richfield Co |
| 3-00000 | 11/30/1980 | 10/2/1980 | | 10,000 | 28.38 | Gulf Res & Chem Corp |
| 3-00000 | 11/30/1980 | 9/29/1980 | | 34,926 | 28.50 | Richmond Tank Car Co |
| 3-00000 | 12/31/1980 | 10/2/1980 | | 9,823 | 28.38 | Gulf Res & Chem Corp |
| 3-00000 | 12/31/1980 | 10/2/1980 | | 2,554 | 107.43 | TRW Inc |
| 3-00000 | 12/31/1980 | 10/2/1980 | | 2,554 | 107.43 | TRW Inc |
| 3-00000 | 12/31/1980 | 10/2/1980 | | 2,554 | 107.43 | TRW Inc |
| 3-00000 | 1/31/1981 | 11/20/1980 | | 9,256 | 63.50 | Pullman Corp |
| 3-00000 | 1/31/1981 | 11/10/1980 | 10,000 | | 12.88 | MCI Communications Corp |
| 3-00000 | 1/31/1981 | 11/10/1980 | 5,192 | | 12.88 | MCI Communications Corp |
| 3-00000 | 1/31/1981 | 11/10/1980 | 7,790 | | 12.88 | MCI Communications Corp |
| 3-00000 | 2/28/1981 | 1/13/1981 | 170 | | 24.38 | Richmond Tank Car Co |
| 3-00000 | 2/28/1981 | 1/12/1981 | 202 | | 27.00 | Richmond Tank Car Co |
| 3-00000 | 2/28/1981 | 1/9/1981 | | 202 | 49.50 | Richmond Tank Car Co |
| 3-00000 | 2/28/1981 | 1/2/1981 | 1,450 | | 30.25 | Offshore Logistics Inc |
| 3-00000 | 2/28/1981 | 11/28/1980 | | 4,437 | 67.38 | First Executive Corp Del |
| 3-00000 | 3/31/1981 | 2/19/1981 | 185 | | 36.63 | Lear Siegler Inc |
| 3-00000 | 3/31/1981 | 2/19/1981 | 530 | | 36.75 | Lear Siegler Inc |
| 3-00000 | 3/31/1981 | 2/18/1981 | 280 | | 31.38 | Aetna Life & Casualty |
| 3-00000 | 3/31/1981 | 2/18/1981 | 50 | | 31.50 | Aetna Life & Casualty |
| 3-00000 | 3/31/1981 | 2/18/1981 | | 1,660 | 33.13 | Dillingham Corp |
| 3-00000 | 3/31/1981 | 2/17/1981 | 548 | | 19.00 | Dillingham Corp |
| 3-00000 | 3/31/1981 | 2/17/1981 | 2,440 | | 19.13 | Dillingham Corp |
| 3-00000 | 3/31/1981 | 2/17/1981 | | 286 | 87.38 | Lear Siegler Inc |
| 3-00000 | 3/31/1981 | 1/28/1981 | 5,668 | | 7.88 | Towner Pete Co |
| 3-00000 | 3/31/1981 | 1/28/1981 | 1,480 | | 8.00 | Towner Pete Co |
| 3-00000 | 3/31/1981 | 1/28/1981 | 745 | | 8.00 | Towner Pete Co |
| 3-00000 | 3/31/1981 | 1/28/1981 | 1,818 | | 7.88 | Towner Pete Co |
| 3-00000 | 3/31/1981 | 1/28/1981 | 247 | | 7.88 | Towner Pete Co |
| 3-00000 | 3/31/1981 | 1/28/1981 | 915 | | 8.00 | Towner Pete Co |
| 3-00000 | 3/31/1981 | 2/5/1981 | 82 | | 39.38 | Alleghany Ludlum Inds Inc |
| 3-00000 | 3/31/1981 | 2/5/1981 | 50 | | 39.50 | Alleghany Ludlum Inds Inc |
| 3-00000 | 3/31/1981 | 2/2/1981 | | 88 | 56.63 | Alleghany Ludlum Inds Inc |
| 3-00000 | 3/31/1981 | 1/22/1981 | | 7,824 | 39.88 | Johnson CTLS Inc |
| 3-00000 | 3/31/1981 | 1/14/1981 | | 24,416 | 25.13 | Damson Oil Corp |
| 3-00000 | 3/31/1981 | 1/13/1981 | 32 | | 24.50 | Richmond Tank Car Co |
| 3-00000 | 3/31/1981 | 1/6/1981 | 1,300 | | 33.13 | Federal Paper BRD Inc |
| 3-00000 | 3/31/1981 | 1/6/1981 | 4,643 | | 33.25 | Federal Paper BRD Inc |
| 3-00000 | 3/31/1981 | 1/5/1981 | | 4,736 | 39.88 | Federal Paper BRD Inc |
| 3-00000 | 4/30/1981 | 2/3/1981 | | 10,979 | 38.25 | Time Inc |
| 3-00000 | 4/30/1981 | 2/20/1981 | | 147 | 67.88 | Aetna Life & Casualty |
| 3-00000 | 5/31/1981 | 4/22/1981 | | 250 | 39.88 | Geico Corp |
| 3-00000 | 5/31/1981 | 4/20/1981 | 130 | | 20.50 | Geico Corp |
| 3-00000 | 5/31/1981 | 4/20/1981 | 370 | | 20.63 | Geico Corp |
| 3-00000 | 5/31/1981 | 4/20/1981 | 310 | | 36.63 | I C Inds Inc |
| 3-00000 | 5/31/1981 | 4/20/1981 | 260 | | 36.75 | I C Inds Inc |
| 3-00000 | 5/31/1981 | 4/14/1981 | | 131,000 | 97.00 | Nucorp Energy Inc |
| 3-00000 | 5/31/1981 | 4/10/1981 | 16,860 | | 26.63 | Piedmont Aviation Inc |
| 3-00000 | 5/31/1981 | 4/10/1981 | 580 | | 26.75 | Piedmont Aviation Inc |
| 3-00000 | 5/31/1981 | 4/9/1981 | | 10,757 | 41.50 | Piedmont Aviation Inc |
| 3-00000 | 5/31/1981 | 4/9/1981 | 4,897 | | 24.00 | Nucorp Energy Inc |
| 3-00000 | 5/31/1981 | 4/6/1981 | | 446 | 30.25 | Towner Pete Co |
| 3-00000 | 5/31/1981 | 4/3/1981 | 176 | | 24.63 | Towner Pete Co |
| 3-00000 | 5/31/1981 | 4/3/1981 | 270 | | 24.75 | Towner Pete Co |
| 3-00000 | 5/31/1981 | 4/3/1981 | 223 | | 12.50 | Towner Pete Co |

**IA Business Backdated Trades on the 2-90000 and 3-00000 Counterparty Accounts
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|--------------------------------|
| 3-00000 | 5/31/1981 | 4/2/1981 | 715 | | 47.38 | Travelers Corp |
| 3-00000 | 5/31/1981 | 4/2/1981 | 60 | | 47.50 | Travelers Corp |
| 3-00000 | 5/31/1981 | 3/31/1981 | | 705 | 49.63 | Travelers Corp |
| 3-00000 | 5/31/1981 | 3/31/1981 | | 2,985 | 110.25 | Interco Inc |
| 3-00000 | 5/31/1981 | 3/30/1981 | | 9,533 | 46.25 | Bunker Ramo Corp |
| 3-00000 | 5/31/1981 | 3/24/1981 | 40 | | 32.63 | Federal Paper BRD Inc |
| 3-00000 | 5/31/1981 | 3/24/1981 | 22 | | 32.75 | Federal Paper BRD Inc |
| 3-00000 | 5/31/1981 | 3/20/1981 | | 50 | 39.50 | Federal Paper BRD Inc |
| 3-00000 | 5/31/1981 | 3/3/1981 | | 222,000 | 279.00 | TRE Corp |
| 3-00000 | 6/30/1981 | 5/15/1981 | 700 | | 13.88 | Adams Express Co |
| 3-00000 | 6/30/1981 | 5/7/1981 | 1,700 | | 13.88 | Adams Express Co |
| 3-00000 | 6/30/1981 | 5/5/1981 | | 41,000 | 111.00 | Baxter Travenol Labs Inc |
| 3-00000 | 6/30/1981 | 5/1/1981 | 874 | | 55.50 | Baxter Travenol Labs Inc |
| 3-00000 | 6/30/1981 | 4/29/1981 | | 26,383 | 23.63 | Eastern Air Lines Inc |
| 3-00000 | 6/30/1981 | 4/22/1981 | | 380 | 52.75 | I C Inds Inc |
| 3-00000 | 6/30/1981 | 4/10/1981 | | 16,860 | 26.63 | Piedmont Aviation Inc |
| 3-00000 | 6/30/1981 | 4/10/1981 | | 580 | 26.75 | Piedmont Aviation Inc |
| 3-00000 | 6/30/1981 | 4/10/1981 | 2,294 | | 26.63 | Piedmont Aviation Inc |
| 3-00000 | 6/30/1981 | 4/10/1981 | 14,150 | | 26.63 | Piedmont Aviation Inc |
| 3-00000 | 6/30/1981 | 4/10/1981 | 653 | | 26.75 | Piedmont Aviation Inc |
| 3-00000 | 6/30/1981 | 4/9/1981 | 10,757 | | 41.50 | Piedmont Aviation Inc |
| 3-00000 | 6/30/1981 | 4/9/1981 | | 1,625 | 41.50 | Piedmont Aviation Inc |
| 3-00000 | 6/30/1981 | 4/9/1981 | | 9,131 | 41.50 | Piedmont Aviation Inc |
| 3-00000 | 7/31/1981 | 6/23/1981 | 766 | | 31.75 | Reading & Bates Offsh Drilling |
| 3-00000 | 7/31/1981 | 6/23/1981 | 1,655 | | 16.50 | Air Fla Sys Inc |
| 3-00000 | 7/31/1981 | 6/22/1981 | | 895 | 37.00 | Air Fla Sys Inc |
| 3-00000 | 7/31/1981 | 6/15/1981 | | 345 | 41.50 | American Brands Inc |
| 3-00000 | 7/31/1981 | 6/12/1981 | 231 | | 45.38 | American Brands Inc |
| 3-00000 | 7/31/1981 | 6/12/1981 | 100 | | 45.50 | American Brands Inc |
| 3-00000 | 7/31/1981 | 6/3/1981 | | 19,322 | 37.63 | Textron Inc |
| 3-00000 | 7/31/1981 | 6/2/1981 | 13,374 | | 35.88 | Textron Inc |
| 3-00000 | 7/31/1981 | 6/2/1981 | 7,880 | | 36.00 | Textron Inc |
| 3-00000 | 7/31/1981 | 6/2/1981 | 396 | | 41.63 | American Brands Inc |
| 3-00000 | 7/31/1981 | 6/2/1981 | 450 | | 41.75 | American Brands Inc |
| 3-00000 | 7/31/1981 | 6/2/1981 | | 33 | 118.00 | Sundstrand Corp |
| 3-00000 | 7/31/1981 | 6/1/1981 | | 830 | 40.50 | American Brands Inc |
| 3-00000 | 7/31/1981 | 6/1/1981 | | 175,000 | 129.00 | Wang Labs Inc |
| 3-00000 | 7/31/1981 | 6/1/1981 | | 176,000 | 129.00 | Wang Labs Inc |
| 3-00000 | 7/31/1981 | 5/29/1981 | 40 | | 50.38 | Sundstrand Corp |
| 3-00000 | 7/31/1981 | 5/29/1981 | 40 | | 50.50 | Sundstrand Corp |
| 3-00000 | 7/31/1981 | 5/28/1981 | 706 | | 24.00 | US Air Inc |
| 3-00000 | 7/31/1981 | 5/26/1981 | | 378 | 43.00 | US Air Inc |
| 3-00000 | 7/31/1981 | 5/21/1981 | | 6,255 | 47.00 | Curtiss Wright Corp |
| 3-00000 | 7/31/1981 | 5/21/1981 | | 13,180 | 47.00 | Curtiss Wright Corp |
| 3-00000 | 7/31/1981 | 5/20/1981 | | 16,434 | 20.13 | Xcor Intl Inc |
| 3-00000 | 7/31/1981 | 5/20/1981 | | 7,695 | 20.13 | Xcor Intl Inc |
| 3-00000 | 7/31/1981 | 5/19/1981 | | 6,251 | 73.50 | General Dynamics Corp |
| 3-00000 | 7/31/1981 | 5/18/1981 | 28 | | 13.88 | Adams Express Co |
| 3-00000 | 7/31/1981 | 5/12/1981 | | 17,852 | 19.13 | Transcontinental Energy Corp |
| 3-00000 | 8/31/1981 | 7/1/1981 | 2,260 | | 17.00 | Nucorp Energy Inc |
| 3-00000 | 8/31/1981 | 6/30/1981 | | 2,030 | 18.63 | Nucorp Energy Inc |
| 3-00000 | 8/31/1981 | 6/23/1981 | 476 | | 16.38 | Air Fla Sys Inc |
| 3-00000 | 8/31/1981 | 6/18/1981 | | 15,946 | 36.00 | INA Corp |
| 3-00000 | 9/30/1981 | 8/19/1981 | 770 | | 41.00 | Crocker Natl Corp |
| 3-00000 | 9/30/1981 | 8/19/1981 | 484 | | 41.13 | Crocker Natl Corp |
| 3-00000 | 9/30/1981 | 8/19/1981 | 387 | | 41.00 | Crocker Natl Corp |
| 3-00000 | 9/30/1981 | 8/19/1981 | 240 | | 41.13 | Crocker Natl Corp |
| 3-00000 | 9/30/1981 | 8/19/1981 | | 1,152 | 41.00 | Crocker Natl Corp |
| 3-00000 | 9/30/1981 | 8/14/1981 | | 418 | 59.75 | Crocker Natl Corp |
| 3-00000 | 9/30/1981 | 8/14/1981 | | 836 | 59.75 | Crocker Natl Corp |
| 3-00000 | 9/30/1981 | 8/14/1981 | 1,255 | | 59.75 | Crocker Natl Corp |
| 3-00000 | 9/30/1981 | 7/28/1981 | | 12,383 | 37.38 | Norton Simon Inc |
| 3-00000 | 9/30/1981 | 7/23/1981 | | 3,601 | 43.00 | Heinz H J Co |

IA Business Backdated Trades on the 2-90000 and 3-00000 Counterparty Accounts
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------|
| 3-00000 | 9/30/1981 | 7/23/1981 | | 7,691 | 43.00 | Heinz H J Co |
| 3-00000 | 9/30/1981 | 7/16/1981 | | 16,940 | 27.13 | Gould Inc |
| 3-00000 | 9/30/1981 | 7/15/1981 | | 3,370 | 44.50 | Richmond Tank Car Co |
| 3-00000 | 9/30/1981 | 7/15/1981 | | 6,606 | 44.50 | Richmond Tank Car Co |
| 3-00000 | 9/30/1981 | 7/1/1981 | 785 | | 17.13 | Nucorp Energy Inc |
| 3-00000 | 9/30/1981 | 7/1/1981 | | 4,107 | 57.75 | American Tel & Teleg Co |
| 3-00000 | 10/31/1981 | 9/23/1981 | 611 | | 56.88 | Baldwin UTD Corp |
| 3-00000 | 10/31/1981 | 9/21/1981 | | 13,876 | 22.38 | Insilco Corp |
| 3-00000 | 10/31/1981 | 9/21/1981 | 14,612 | | 22.38 | Insilco Corp |
| 3-00000 | 10/31/1981 | 9/18/1981 | 120 | | 16.50 | Insilco Corp |
| 3-00000 | 10/31/1981 | 9/18/1981 | 19,404 | | 16.63 | Insilco Corp |
| 3-00000 | 10/31/1981 | 9/18/1981 | | 506 | 39.50 | Baldwin UTD Corp |
| 3-00000 | 10/31/1981 | 9/18/1981 | | 410 | 39.63 | Baldwin UTD Corp |
| 3-00000 | 10/31/1981 | 9/18/1981 | 192 | | 39.50 | Baldwin UTD Corp |
| 3-00000 | 10/31/1981 | 9/18/1981 | 160 | | 39.63 | Baldwin UTD Corp |
| 3-00000 | 10/31/1981 | 9/18/1981 | | 2,070 | 16.75 | Insilco Corp |
| 3-00000 | 10/31/1981 | 9/18/1981 | | 18,490 | 16.88 | Insilco Corp |
| 3-00000 | 10/31/1981 | 9/10/1981 | 98 | | 17.50 | LTV Corporation |
| 3-00000 | 10/31/1981 | 9/10/1981 | 410 | | 17.63 | LTV Corporation |
| 3-00000 | 10/31/1981 | 9/9/1981 | | 6,392 | 44.00 | Geico Corp |
| 3-00000 | 10/31/1981 | 9/9/1981 | 6,392 | | 44.00 | Geico Corp |
| 3-00000 | 10/31/1981 | 9/9/1981 | | 494 | 17.38 | LTV Corporation |
| 3-00000 | 10/31/1981 | 9/9/1981 | | 145 | 44.00 | Geico Corp |
| 3-00000 | 10/31/1981 | 9/8/1981 | 250 | | 22.88 | Geico Corp |
| 3-00000 | 10/31/1981 | 9/8/1981 | 40 | | 23.00 | Geico Corp |
| 3-00000 | 10/31/1981 | 9/4/1981 | | 9,966 | 29.50 | INA Corp |
| 3-00000 | 10/31/1981 | 9/3/1981 | | 1,533 | 109.50 | Atlantic Richfield Co |
| 3-00000 | 10/31/1981 | 9/3/1981 | 2,170 | | 47.88 | Atlantic Richfield Co |
| 3-00000 | 10/31/1981 | 9/3/1981 | 1,509 | | 48.00 | Atlantic Richfield Co |
| 3-00000 | 10/31/1981 | 8/19/1981 | | 730 | 41.13 | Crocker Natl Corp |
| 3-00000 | 10/31/1981 | 8/5/1981 | | 115 | 112.25 | Interco Inc |
| 3-00000 | 10/31/1981 | 8/3/1981 | 158 | | 53.50 | Interco Inc |
| 3-00000 | 10/31/1981 | 8/3/1981 | 90 | | 53.63 | Interco Inc |
| 3-00000 | 10/31/1981 | 6/2/1981 | 85 | | 41.13 | American Brands Inc |
| 3-00000 | 10/31/1981 | 6/2/1981 | 230 | | 41.25 | American Brands Inc |
| 3-00000 | 10/31/1981 | 6/1/1981 | | 309 | 40.50 | American Brands Inc |
| 3-00000 | 11/30/1981 | 10/20/1981 | 573 | | 24.38 | General Dynamics Corp |
| 3-00000 | 11/30/1981 | 10/20/1981 | 50 | | 24.50 | General Dynamics Corp |
| 3-00000 | 11/30/1981 | 9/23/1981 | | 235 | 56.88 | Baldwin UTD Corp |
| 3-00000 | 11/30/1981 | 9/8/1981 | | 7,653 | 43.25 | Geico Corp |
| 3-00000 | 11/30/1981 | 9/9/1981 | | 3,583 | 43.25 | Geico Corp |
| 3-00000 | 11/30/1981 | 9/4/1981 | | 3,446 | 179.75 | Newmont Mng Corp |
| 3-00000 | 12/31/1981 | 11/5/1981 | | 6,310 | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | | 1,302 | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | | 11,962 | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | | 5,659 | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | | 18,611 | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | | 2,065 | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | | 19,795 | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | | 19,760 | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | | 3,193 | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | | 4,878 | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | | 1,219 | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | | 4,686 | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | | 1,226 | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | | 4,830 | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | | 4,922 | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | | 5,196 | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | | 8,780 | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | | 9,756 | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | | 3,266 | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | | 23,087 | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | | 11,134 | 20.50 | Eastern Air Lines Inc |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|--------------------------------|
| 3-00000 | 12/31/1981 | 11/5/1981 | 1,302 | | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | 11,962 | | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | 5,659 | | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | 18,611 | | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | 2,065 | | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | 3,193 | | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | 19,760 | | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | 4,879 | | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | 19,795 | | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | 1,219 | | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | 4,686 | | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | 1,226 | | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | 4,830 | | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | 4,922 | | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | 5,196 | | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | 8,780 | | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | 9,756 | | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | 3,266 | | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | 23,087 | | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 11/5/1981 | 6,310 | | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 12/31/1981 | 10/22/1981 | | 277 | 54.00 | General Dynamics Corp |
| 3-00000 | 2/28/1982 | 12/31/1981 | 1,030 | | 23.38 | Ethyl Corp |
| 3-00000 | 2/28/1982 | 12/29/1981 | | 1,876 | 58.13 | Ethyl Corp |
| 3-00000 | 2/28/1982 | 11/5/1981 | 11,134 | | 20.50 | Eastern Air Lines Inc |
| 3-00000 | 3/31/1982 | 2/5/1982 | 75 | | 36.88 | Sun Inc |
| 3-00000 | 3/31/1982 | 2/4/1982 | | 228 | 74.38 | Sun Inc |
| 3-00000 | 3/31/1982 | 12/31/1981 | 3,847 | | 23.50 | Ethyl Corp |
| 3-00000 | 4/30/1982 | 3/24/1982 | 715 | | 48.63 | TRW Inc |
| 3-00000 | 4/30/1982 | 3/24/1982 | 30 | | 48.75 | TRW Inc |
| 3-00000 | 4/30/1982 | 3/24/1982 | 3,270 | | 49.00 | TRW Inc |
| 3-00000 | 4/30/1982 | 3/23/1982 | 22,793 | | 25.75 | Ogden Corp |
| 3-00000 | 4/30/1982 | 3/23/1982 | 4,730 | | 25.88 | Ogden Corp |
| 3-00000 | 4/30/1982 | 3/22/1982 | | 11,902 | 56.63 | Ogden Corp |
| 3-00000 | 4/30/1982 | 3/19/1982 | | 339 | 102.63 | TRW Inc |
| 3-00000 | 4/30/1982 | 3/19/1982 | | 6,574 | 112.63 | TRW Inc |
| 3-00000 | 4/30/1982 | 3/11/1982 | | 30,396 | 18.00 | Digital Switch Corp |
| 3-00000 | 4/30/1982 | 3/11/1982 | 30,396 | | 11.75 | Digital Switch Corp |
| 3-00000 | 4/30/1982 | 3/11/1982 | 18,236 | | 6.88 | Digital Switch Corp |
| 3-00000 | 4/30/1982 | 3/11/1982 | 12,160 | | 7.00 | Digital Switch Corp |
| 3-00000 | 4/30/1982 | 3/1/1982 | | 11,693 | 35.63 | GATX Corp |
| 3-00000 | 4/30/1982 | 3/1/1982 | 11,693 | | 35.63 | GATX Corp |
| 3-00000 | 4/30/1982 | 2/5/1982 | 400 | | 37.00 | Sun Inc |
| 3-00000 | 4/30/1982 | 1/29/1982 | 210 | | 30.38 | United States Gypsum Co |
| 3-00000 | 4/30/1982 | 1/29/1982 | 978 | | 30.50 | United States Gypsum Co |
| 3-00000 | 4/30/1982 | 1/25/1982 | | 1,321 | 26.38 | United States Gypsum Co |
| 3-00000 | 6/30/1982 | 5/17/1982 | 30 | | 20.00 | Ethyl Corp |
| 3-00000 | 6/30/1982 | 5/18/1982 | | 2,713 | 49.75 | Ethyl Corp |
| 3-00000 | 6/30/1982 | 5/18/1982 | | 50 | 49.75 | Ethyl Corp |
| 3-00000 | 6/30/1982 | 5/18/1982 | 2,814 | | 49.75 | Ethyl Corp |
| 3-00000 | 6/30/1982 | 5/17/1982 | 243 | | 19.88 | Ethyl Corp |
| 3-00000 | 6/30/1982 | 5/17/1982 | 6,810 | | 20.00 | Ethyl Corp |
| 3-00000 | 6/30/1982 | 5/17/1982 | | 256 | 19.88 | Ethyl Corp |
| 3-00000 | 6/30/1982 | 5/17/1982 | | 7,060 | 20.00 | Ethyl Corp |
| 3-00000 | 6/30/1982 | 5/13/1982 | | 881 | 24.00 | Digital Switch Corp |
| 3-00000 | 6/30/1982 | 5/12/1982 | 771 | | 14.75 | Digital Switch Corp |
| 3-00000 | 6/30/1982 | 5/12/1982 | 110 | | 14.88 | Digital Switch Corp |
| 3-00000 | 6/30/1982 | 5/12/1982 | 881 | | 10.00 | Digital Switch Corp |
| 3-00000 | 6/30/1982 | 3/24/1982 | 11,192 | | 49.13 | TRW Inc |
| 3-00000 | 8/31/1982 | 7/15/1982 | | 482 | 24.50 | Baldwin UTD Corp |
| 3-00000 | 8/31/1982 | 7/14/1982 | 2,998 | | 71.00 | Baldwin UTD Corp |
| 3-00000 | 8/31/1982 | 7/1/1982 | 1,478 | | 23.63 | International Tel & Teleg Corp |
| 3-00000 | 8/31/1982 | 7/1/1982 | 1,130 | | 23.75 | International Tel & Teleg Corp |
| 3-00000 | 8/31/1982 | 6/29/1982 | | 2,077 | 28.88 | International Tel & Teleg Corp |

**IA Business Backdated Trades on the 2-90000 and 3-00000 Counterparty Accounts
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------|
| 3-00000 | 8/31/1982 | 5/17/1982 | 100 | | 20.13 | Ethyl Corp |
| 3-00000 | 9/30/1982 | 8/19/1982 | | 4,577 | 25.25 | GTE Corp |
| 3-00000 | 9/30/1982 | 8/19/1982 | | 19,938 | 25.25 | GTE Corp |
| 3-00000 | 9/30/1982 | 8/19/1982 | 19,938 | | 25.25 | GTE Corp |
| 3-00000 | 9/30/1982 | 8/17/1982 | | 4,320 | 22.13 | GATX Corp |
| 3-00000 | 9/30/1982 | 8/17/1982 | | 1,367 | 22.25 | GATX Corp |
| 3-00000 | 9/30/1982 | 8/17/1982 | 1,813 | | 22.13 | GATX Corp |
| 3-00000 | 9/30/1982 | 8/17/1982 | 570 | | 22.25 | GATX Corp |
| 3-00000 | 9/30/1982 | 8/13/1982 | 4,550 | | 26.38 | GATX Corp |
| 3-00000 | 9/30/1982 | 8/13/1982 | | 1,907 | 26.38 | GATX Corp |
| 3-00000 | 9/30/1982 | 7/15/1982 | | 8,510 | 24.63 | Baldwin UTD Corp |
| 3-00000 | 11/30/1982 | 10/12/1982 | 7,171 | | 49.75 | Interco Inc |
| 3-00000 | 11/30/1982 | 10/12/1982 | 2,690 | | 49.88 | Interco Inc |
| 3-00000 | 11/30/1982 | 10/12/1982 | 10,945 | | 50.13 | Interco Inc |
| 3-00000 | 11/30/1982 | 10/12/1982 | 1,750 | | 50.25 | Interco Inc |
| 3-00000 | 11/30/1982 | 10/12/1982 | | 3,351 | 49.75 | Interco Inc |
| 3-00000 | 11/30/1982 | 10/12/1982 | | 6,500 | 49.88 | Interco Inc |
| 3-00000 | 11/30/1982 | 10/12/1982 | | 5,990 | 50.13 | Interco Inc |
| 3-00000 | 11/30/1982 | 8/19/1982 | 4,577 | | 25.25 | GTE Corp |
| 3-00000 | 12/31/1982 | 11/19/1982 | | 1,181 | 32.63 | TIE Communications Inc |
| 3-00000 | 12/31/1982 | 10/12/1982 | | 6,693 | 50.25 | Interco Inc |
| 3-00000 | 2/28/1983 | 1/6/1983 | 711 | | 47.38 | American Brands Inc |
| 3-00000 | 2/28/1983 | 1/6/1983 | | 920 | 47.38 | American Brands Inc |
| 3-00000 | 2/28/1983 | 1/6/1983 | 4,150 | | 47.50 | American Brands Inc |
| 3-00000 | 2/28/1983 | 1/4/1983 | 6,135 | | 46.13 | American Brands Inc |
| 3-00000 | 2/28/1983 | 1/4/1983 | | 4,766 | 46.13 | American Brands Inc |
| 3-00000 | 2/28/1983 | 11/19/1982 | 1,881 | | 32.63 | TIE Communications Inc |
| 3-00000 | 3/31/1983 | 2/10/1983 | 1,630 | | 58.63 | Newmont Mng Corp |
| 3-00000 | 3/31/1983 | 2/10/1983 | | 2,260 | 58.63 | Newmont Mng Corp |
| 3-00000 | 3/31/1983 | 2/10/1983 | 2,473 | | 58.75 | Newmont Mng Corp |
| 3-00000 | 3/31/1983 | 2/8/1983 | 1,825 | | 175.75 | Newmont Mng Corp |
| 3-00000 | 3/31/1983 | 2/8/1983 | | 1,313 | 175.75 | Newmont Mng Corp |
| 3-00000 | 3/31/1983 | 1/6/1983 | | 5,337 | 47.50 | American Brands Inc |
| 3-00000 | 4/30/1983 | 3/23/1983 | | 63,000 | 136.00 | Trans World Corp |
| 3-00000 | 4/30/1983 | 3/23/1983 | 65,000 | | 136.00 | Trans World Corp |
| 3-00000 | 4/30/1983 | 3/23/1983 | | 65,000 | 136.00 | Trans World Corp |
| 3-00000 | 4/30/1983 | 3/22/1983 | 1,065 | | 35.13 | Trans World Corp |
| 3-00000 | 4/30/1983 | 3/22/1983 | | 1,608 | 35.00 | Trans World Corp |
| 3-00000 | 4/30/1983 | 3/22/1983 | 1,608 | | 35.00 | Trans World Corp |
| 3-00000 | 4/30/1983 | 3/22/1983 | | 1,100 | 35.13 | Trans World Corp |
| 3-00000 | 4/30/1983 | 3/22/1983 | 1,100 | | 35.13 | Trans World Corp |
| 3-00000 | 4/30/1983 | 3/22/1983 | | 2,101 | 35.00 | Trans World Corp |
| 3-00000 | 4/30/1983 | 3/22/1983 | | 1,440 | 35.13 | Trans World Corp |
| 3-00000 | 4/30/1983 | 3/22/1983 | 1,560 | | 35.00 | Trans World Corp |
| 3-00000 | 4/30/1983 | 2/10/1983 | | 3,443 | 58.75 | Newmont Mng Corp |
| 3-00000 | 5/31/1983 | 4/14/1983 | 12,956 | | 9.50 | Scan Optics Inc |
| 3-00000 | 5/31/1983 | 4/14/1983 | 3,882 | | 15.38 | Scan Optics |
| 3-00000 | 5/31/1983 | 4/14/1983 | 22,030 | | 15.50 | Scan Optics |
| 3-00000 | 5/31/1983 | 4/14/1983 | 1,378 | | 9.50 | Scan Optics Inc |
| 3-00000 | 5/31/1983 | 4/14/1983 | | 12,956 | 39.00 | Scan Optics |
| 3-00000 | 5/31/1983 | 4/14/1983 | 416 | | 15.38 | Scan Optics |
| 3-00000 | 5/31/1983 | 4/14/1983 | 2,340 | | 15.50 | Scan Optics |
| 3-00000 | 5/31/1983 | 3/28/1983 | 396 | | 49.25 | American Brands Inc |
| 3-00000 | 5/31/1983 | 3/28/1983 | 760 | | 49.38 | American Brands Inc |
| 3-00000 | 5/31/1983 | 3/25/1983 | | 1,134 | 48.50 | American Brands Inc |
| 3-00000 | 5/31/1983 | 3/23/1983 | 85,000 | | 136.00 | Trans World Corp |
| 3-00000 | 5/31/1983 | 2/23/1983 | 5,690 | | 62.25 | Digital Switch Corp |
| 3-00000 | 5/31/1983 | 2/23/1983 | 254 | | 62.13 | Digital Switch Corp |
| 3-00000 | 5/31/1983 | 2/23/1983 | 610 | | 62.25 | Digital Switch Corp |
| 3-00000 | 5/31/1983 | 2/23/1983 | 2,432 | | 62.13 | Digital Switch Corp |
| 3-00000 | 5/31/1983 | 2/22/1983 | | 8,122 | 52.25 | Digital Switch Corp |
| 3-00000 | 5/31/1983 | 2/22/1983 | | 864 | 52.25 | Digital Switch Corp |
| 3-00000 | 6/30/1983 | 5/3/1983 | 4,164 | | 44.75 | Allied Corp |

IA Business Backdated Trades on the 2-90000 and 3-00000 Counterparty Accounts
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|---------------------------|
| 3-00000 | 6/30/1983 | 4/14/1983 | | 1,378 | 39.00 | Scan Optics |
| 3-00000 | 6/30/1983 | 4/4/1983 | | 2,138 | 16.75 | Chrysler Corp |
| 3-00000 | 7/31/1983 | 6/1/1983 | 100 | | 22.13 | Transtechonology Corp |
| 3-00000 | 7/31/1983 | 5/27/1983 | | 12,000 | 157.00 | Transtechonology Corp |
| 3-00000 | 7/31/1983 | 5/3/1983 | | 4,164 | 44.75 | Allied Corp |
| 3-00000 | 8/31/1983 | 7/19/1983 | 751 | | 27.13 | Household Intl Inc |
| 3-00000 | 8/31/1983 | 7/18/1983 | | 1,681 | 38.88 | Household Intl Inc |
| 3-00000 | 8/31/1983 | 6/1/1983 | 600 | | 22.25 | Transtechonology Corp |
| 3-00000 | 9/30/1983 | 8/18/1983 | 2,732 | | 25.75 | Ethyl Corp |
| 3-00000 | 9/30/1983 | 8/18/1983 | 60 | | 25.88 | Ethyl Corp |
| 3-00000 | 9/30/1983 | 8/18/1983 | | 3,030 | 25.75 | Ethyl Corp |
| 3-00000 | 9/30/1983 | 8/17/1983 | | 537 | 130.25 | Ethyl Corp |
| 3-00000 | 9/30/1983 | 8/17/1983 | 595 | | 130.25 | Ethyl Corp |
| 3-00000 | 9/30/1983 | 7/29/1983 | | 1,309 | 59.88 | Household Intl Inc |
| 3-00000 | 9/30/1983 | 7/27/1983 | 1,755 | | 27.63 | Household Intl Inc |
| 3-00000 | 9/30/1983 | 7/27/1983 | 1,190 | | 27.75 | Household Intl Inc |
| 3-00000 | 9/30/1983 | 7/19/1983 | 1,770 | | 27.25 | Household Intl Inc |
| 3-00000 | 10/31/1983 | 9/16/1983 | | 13,776 | 12.75 | Total Pete North Amer Ltd |
| 3-00000 | 10/31/1983 | 9/15/1983 | | 8,996 | 4.00 | Total Pete North Amer Ltd |
| 3-00000 | 10/31/1983 | 9/7/1983 | 17,996 | | 14.38 | Total Pete North Amer Ltd |
| 3-00000 | 10/31/1983 | 8/18/1983 | | 64 | 25.88 | Ethyl Corp |
| 3-00000 | 2/29/1984 | 9/16/1983 | | 4,220 | 12.88 | Total Pete North Amer Ltd |
| 3-00000 | 4/30/1984 | 3/20/1984 | 363 | | 31.75 | Beatrice Foods Co |
| 3-00000 | 4/30/1984 | 3/19/1984 | | 303 | 57.25 | Beatrice Foods Co |
| 3-00000 | 4/30/1984 | 3/7/1984 | 2,394 | | 93.25 | Rockwell Intl Corp |
| 3-00000 | 4/30/1984 | 3/7/1984 | | 2,287 | 93.25 | Rockwell Intl Corp |
| 3-00000 | 4/30/1984 | 3/6/1984 | | 4,400 | 27.00 | Rockwell Intl Corp |
| 3-00000 | 4/30/1984 | 3/6/1984 | 4,033 | | 26.88 | Rockwell Intl Corp |
| 3-00000 | 4/30/1984 | 3/6/1984 | 4,200 | | 27.00 | Rockwell Intl Corp |
| 3-00000 | 4/30/1984 | 3/6/1984 | 1,708 | | 28.00 | Occidental Petroleum Corp |
| 3-00000 | 4/30/1984 | 3/6/1984 | 3,800 | | 28.13 | Occidental Petroleum Corp |
| 3-00000 | 4/30/1984 | 3/6/1984 | | 1,860 | 28.00 | Occidental Petroleum Corp |
| 3-00000 | 4/30/1984 | 3/6/1984 | | 4,200 | 28.13 | Occidental Petroleum Corp |
| 3-00000 | 4/30/1984 | 3/6/1984 | | 4,218 | 26.88 | Rockwell Intl Corp |
| 3-00000 | 4/30/1984 | 3/5/1984 | | 1,746 | 85.88 | Occidental Pete Corp |
| 3-00000 | 4/30/1984 | 3/5/1984 | | 539 | 138.50 | Amerada Hess Corp |
| 3-00000 | 4/30/1984 | 3/5/1984 | 1,921 | | 85.88 | Occidental Pete Corp |
| 3-00000 | 4/30/1984 | 3/2/1984 | | 608 | 56.00 | United States Gypsum Co |
| 3-00000 | 4/30/1984 | 3/2/1984 | | 100 | 56.13 | United States Gypsum Co |
| 3-00000 | 4/30/1984 | 3/2/1984 | 1,200 | | 33.25 | Amerada Hess Corp |
| 3-00000 | 4/30/1984 | 3/2/1984 | 1,141 | | 33.38 | Amerada Hess Corp |
| 3-00000 | 4/30/1984 | 3/2/1984 | 600 | | 56.00 | United States Gypsum Co |
| 3-00000 | 4/30/1984 | 3/2/1984 | 82 | | 56.13 | United States Gypsum Co |
| 3-00000 | 4/30/1984 | 3/1/1984 | 787 | | 48.13 | United States Gypsum Co |
| 3-00000 | 4/30/1984 | 3/1/1984 | | 758 | 48.13 | United States Gypsum Co |
| 3-00000 | 4/30/1984 | 2/24/1984 | | 281 | 43.00 | Beatrice Foods Co |
| 3-00000 | 4/30/1984 | 2/24/1984 | 281 | | 43.00 | Beatrice Foods Co |
| 3-00000 | 4/30/1984 | 2/24/1984 | 281 | | 43.00 | Bristol Myers Co |
| 3-00000 | 4/30/1984 | 2/24/1984 | 200 | | 43.13 | Bristol Myers Co |
| 3-00000 | 4/30/1984 | 2/23/1984 | | 227 | 88.00 | Bristol Myers Co |
| 3-00000 | 4/30/1984 | 2/24/1984 | | 600 | 69.63 | TRW Inc |
| 3-00000 | 4/30/1984 | 2/24/1984 | | 5,278 | 69.75 | TRW Inc |
| 3-00000 | 4/30/1984 | 2/24/1984 | 658 | | 69.63 | TRW Inc |
| 3-00000 | 4/30/1984 | 2/24/1984 | 5,200 | | 69.75 | TRW Inc |
| 3-00000 | 4/30/1984 | 2/21/1984 | 2,672 | | 147.88 | TRW Inc |
| 3-00000 | 4/30/1984 | 2/21/1984 | | 2,663 | 147.88 | TRW Inc |
| 3-00000 | 4/30/1984 | 1/16/1984 | 220 | | 64.00 | U S West Inc |
| 3-00000 | 4/30/1984 | 1/16/1984 | | 250 | 64.00 | U S West Inc |
| 3-00000 | 4/30/1984 | 1/16/1984 | | 340 | 64.00 | U S West Inc |
| 3-00000 | 4/30/1984 | 1/16/1984 | 320 | | 64.00 | U S West Inc |
| 3-00000 | 4/30/1984 | 1/17/1984 | 1,400 | | 18.13 | American Tel & Tel |
| 3-00000 | 4/30/1984 | 1/17/1984 | | 1,600 | 18.13 | American Tel & Tel |
| 3-00000 | 4/30/1984 | 1/17/1984 | | 900 | 18.25 | American Tel & Tel |

IA Business Backdated Trades on the 2-90000 and 3-00000 Counterparty Accounts
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------|
| 3-00000 | 4/30/1984 | 1/17/1984 | 1,800 | | 18.25 | American Tel & Tel |
| 3-00000 | 4/30/1984 | 1/17/1984 | 1,400 | | 18.13 | American Tel & Tel |
| 3-00000 | 4/30/1984 | 1/17/1984 | 800 | | 18.25 | American Tel & Tel |
| 3-00000 | 4/30/1984 | 1/17/1984 | | 250 | 66.50 | Nynex Corp |
| 3-00000 | 4/30/1984 | 1/17/1984 | 320 | | 65.50 | Nynex Corp |
| 3-00000 | 4/30/1984 | 1/17/1984 | | 1,500 | 18.13 | American Tel & Tel |
| 3-00000 | 4/30/1984 | 1/17/1984 | | 1,900 | 18.25 | American Tel & Tel |
| 3-00000 | 4/30/1984 | 1/17/1984 | | 340 | 65.50 | Nynex Corp |
| 3-00000 | 4/30/1984 | 1/17/1984 | 220 | | 66.50 | Nynex Corp |
| 3-00000 | 4/30/1984 | 1/18/1984 | | 340 | 62.00 | Pacific Telesis Corp |
| 3-00000 | 4/30/1984 | 1/18/1984 | 220 | | 65.00 | Southwestern Bell Corp |
| 3-00000 | 4/30/1984 | 1/18/1984 | | 340 | 65.00 | Southwestern Bell Corp |
| 3-00000 | 4/30/1984 | 1/18/1984 | 320 | | 69.13 | Ameritech Corp |
| 3-00000 | 4/30/1984 | 1/18/1984 | | 340 | 69.13 | Ameritech Corp |
| 3-00000 | 4/30/1984 | 1/18/1984 | 220 | | 69.13 | Ameritech Corp |
| 3-00000 | 4/30/1984 | 1/18/1984 | 320 | | 62.00 | Pacific Telesis Corp |
| 3-00000 | 4/30/1984 | 1/18/1984 | 320 | | 65.00 | Southwestern Bell Corp |
| 3-00000 | 4/30/1984 | 1/18/1984 | | 250 | 62.50 | Pacific Telesis Corp |
| 3-00000 | 4/30/1984 | 1/18/1984 | | 250 | 65.00 | Southwestern Bell Corp |
| 3-00000 | 4/30/1984 | 1/18/1984 | 220 | | 62.50 | Pacific Telesis Corp |
| 3-00000 | 4/30/1984 | 1/18/1984 | | 250 | 69.13 | Ameritech Corp |
| 3-00000 | 4/30/1984 | 1/19/1984 | | 250 | 93.75 | Bell South Corp |
| 3-00000 | 4/30/1984 | 1/19/1984 | 320 | | 93.75 | Bell South Corp |
| 3-00000 | 4/30/1984 | 1/19/1984 | | 340 | 93.75 | Bell South Corp |
| 3-00000 | 4/30/1984 | 1/19/1984 | 220 | | 93.75 | Bell South Corp |
| 3-00000 | 4/30/1984 | 1/20/1984 | 320 | | 73.38 | Bell Atlantic Corp |
| 3-00000 | 4/30/1984 | 1/20/1984 | 220 | | 73.38 | Bell Atlantic Corp |
| 3-00000 | 4/30/1984 | 1/20/1984 | | 250 | 73.38 | Bell Atlantic Corp |
| 3-00000 | 4/30/1984 | 1/20/1984 | | 340 | 73.38 | Bell Atlantic Corp |
| 3-00000 | 4/30/1984 | 1/13/1984 | | 2,800 | 65.63 | American Tel & Teleg Co |
| 3-00000 | 4/30/1984 | 1/13/1984 | 2,500 | | 65.63 | American Tel & Teleg Co |
| 3-00000 | 4/30/1984 | 1/13/1984 | 3,400 | | 65.63 | American Tel & Teleg Co |
| 3-00000 | 4/30/1984 | 1/13/1984 | | 2,200 | 65.63 | American Tel & Teleg Co |
| 3-00000 | 4/30/1984 | 1/13/1984 | | 3,200 | 65.63 | American Tel & Teleg Co |
| 3-00000 | 7/31/1984 | 6/20/1984 | 6,685 | | 35.00 | McKesson Corp |
| 3-00000 | 7/31/1984 | 6/20/1984 | 4,100 | | 35.13 | McKesson Corp |
| 3-00000 | 7/31/1984 | 6/20/1984 | | 11,900 | 35.00 | McKesson Corp |
| 3-00000 | 7/31/1984 | 6/18/1984 | | 6,637 | 54.50 | McKesson Corp |
| 3-00000 | 7/31/1984 | 6/18/1984 | 11,866 | | 54.50 | McKesson Corp |
| 3-00000 | 7/31/1984 | 6/15/1984 | | 2,247 | 44.50 | United States Gypsum Co |
| 3-00000 | 7/31/1984 | 6/15/1984 | | 1,123 | 44.50 | United States Gypsum Co |
| 3-00000 | 7/31/1984 | 6/14/1984 | 1,222 | | 51.25 | United States Gypsum Co |
| 3-00000 | 7/31/1984 | 6/14/1984 | 800 | | 51.38 | United States Gypsum Co |
| 3-00000 | 7/31/1984 | 6/14/1984 | 610 | | 51.25 | United States Gypsum Co |
| 3-00000 | 7/31/1984 | 6/14/1984 | 400 | | 51.38 | United States Gypsum Co |
| 3-00000 | 7/31/1984 | 3/20/1984 | 200 | | 31.88 | Beatrice Foods Co |
| 3-00000 | 8/31/1984 | 7/23/1984 | 26,777 | | 26.75 | Amerada Hess Corp |
| 3-00000 | 8/31/1984 | 7/23/1984 | 500 | | 26.88 | Amerada Hess Corp |
| 3-00000 | 8/31/1984 | 7/18/1984 | | 14 | 61.75 | Time Inc |
| 3-00000 | 8/31/1984 | 7/17/1984 | 25 | | 36.25 | Time Inc |
| 3-00000 | 8/31/1984 | 7/12/1984 | 2,214 | | 101.63 | Atlantic Richfield Co |
| 3-00000 | 8/31/1984 | 7/10/1984 | | 2,900 | 43.88 | Atlantic Richfield Co |
| 3-00000 | 8/31/1984 | 7/10/1984 | | 2,413 | 44.00 | Atlantic Richfield Co |
| 3-00000 | 8/31/1984 | 6/20/1984 | | 7,382 | 35.13 | McKesson Corp |
| 3-00000 | 9/30/1984 | 8/14/1984 | 353 | | 32.88 | Kidde Inc |
| 3-00000 | 9/30/1984 | 8/14/1984 | 600 | | 33.00 | Kidde Inc |
| 3-00000 | 9/30/1984 | 8/1/1984 | | 2,800 | 29.75 | Pitney Bowes Inc |
| 3-00000 | 9/30/1984 | 8/1/1984 | 2,800 | | 29.75 | Pitney Bowes Inc |
| 3-00000 | 9/30/1984 | 8/1/1984 | | 700 | 29.88 | Pitney Bowes Inc |
| 3-00000 | 9/30/1984 | 8/1/1984 | 700 | | 29.88 | Pitney Bowes Inc |
| 3-00000 | 9/30/1984 | 8/1/1984 | 700 | | 29.88 | Pitney Bowes Inc |
| 3-00000 | 9/30/1984 | 8/1/1984 | 2,800 | | 29.75 | Pitney Bowes Inc |
| 3-00000 | 9/30/1984 | 7/31/1984 | 1,750 | | 57.13 | Pitney Bowes Inc |

IA Business Backdated Trades on the 2-90000 and 3-00000 Counterparty Accounts
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|----------------------------|
| 3-00000 | 9/30/1984 | 7/31/1984 | | 1,750 | 57.13 | Pitney Bowes Inc |
| 3-00000 | 9/30/1984 | 7/31/1984 | | 1,750 | 57.13 | Pitney Bowes Inc |
| 3-00000 | 9/30/1984 | 8/29/1984 | 967 | | 30.38 | Rockwell Intl Corp |
| 3-00000 | 9/30/1984 | 7/24/1984 | | 6,278 | 111.50 | Amerada Hess Corp |
| 3-00000 | 9/30/1984 | 7/11/1984 | | 4,283 | 24.50 | International Harvester Co |
| 3-00000 | 9/30/1984 | 7/11/1984 | | 511 | 24.50 | International Harvester Co |
| 3-00000 | 9/30/1984 | 7/10/1984 | 1,900 | | 6.75 | International Harvester Co |
| 3-00000 | 9/30/1984 | 7/10/1984 | 14,161 | | 6.88 | International Harvester Co |
| 3-00000 | 9/30/1984 | 7/10/1984 | 216 | | 6.75 | International Harvester Co |
| 3-00000 | 9/30/1984 | 7/10/1984 | 1,700 | | 6.88 | International Harvester Co |
| 3-00000 | 12/31/1984 | 11/13/1984 | 2,700 | | 25.75 | Sheller Globe Corp |
| 3-00000 | 12/31/1984 | 11/13/1984 | 2,203 | | 25.63 | Sheller Globe Corp |
| 3-00000 | 12/31/1984 | 8/17/1984 | | 410 | 73.00 | Kidde Inc |
| 3-00000 | 1/31/1985 | 12/18/1984 | 298 | | 44.13 | Atlantic Richfield Co |
| 3-00000 | 1/31/1985 | 12/18/1984 | | 800 | 44.13 | Atlantic Richfield Co |
| 3-00000 | 1/31/1985 | 12/18/1984 | | 599 | 44.00 | Atlantic Richfield Co |
| 3-00000 | 1/31/1985 | 12/19/1984 | | 291 | 102.88 | Atlantic Richfield Co |
| 3-00000 | 1/31/1985 | 12/19/1984 | | 1,100 | 8.63 | International Harvester Co |
| 3-00000 | 1/31/1985 | 12/19/1984 | | 17,192 | 8.50 | International Harvester Co |
| 3-00000 | 1/31/1985 | 12/19/1984 | 583 | | 102.88 | Atlantic Richfield Co |
| 3-00000 | 1/31/1985 | 12/18/1984 | 400 | | 44.13 | Atlantic Richfield Co |
| 3-00000 | 1/31/1985 | 11/15/1984 | 71,500 | | 4.75 | Princeville Dav Corp |
| 3-00000 | 1/31/1985 | 11/15/1984 | 8,885 | | 4.63 | Princeville Dav Corp |
| 3-00000 | 1/31/1985 | 11/15/1984 | 69,900 | | 4.75 | Princeville Dav Corp |
| 3-00000 | 1/31/1985 | 11/15/1984 | 8,605 | | 4.63 | Princeville Dav Corp |
| 3-00000 | 1/31/1985 | 11/15/1984 | 32,800 | | 4.75 | Princeville Dav Corp |
| 3-00000 | 1/31/1985 | 11/15/1984 | 45,701 | | 4.63 | Princeville Dav Corp |
| 3-00000 | 1/31/1985 | 12/13/1984 | 2,700 | | 30.25 | Beatrice Foods Co |
| 3-00000 | 1/31/1985 | 12/13/1984 | 2,258 | | 30.13 | Beatrice Foods Co |
| 3-00000 | 1/31/1985 | 12/13/1984 | | 2,800 | 30.25 | Beatrice Foods Co |
| 3-00000 | 1/31/1985 | 12/13/1984 | | 2,342 | 30.13 | Beatrice Foods Co |
| 3-00000 | 1/31/1985 | 12/10/1984 | | 2,666 | 54.38 | Beatrice Foods Co |
| 3-00000 | 1/31/1985 | 12/10/1984 | 2,765 | | 54.38 | Beatrice Foods Co |
| 3-00000 | 1/31/1985 | 11/23/1984 | 190 | | 38.13 | American Stores Co New |
| 3-00000 | 1/31/1985 | 11/23/1984 | 300 | | 48.38 | American Stores Co New |
| 3-00000 | 1/31/1985 | 11/23/1984 | 94 | | 48.25 | American Stores Co New |
| 3-00000 | 1/31/1985 | 11/23/1984 | | 190 | 38.13 | American Stores Co New |
| 3-00000 | 1/31/1985 | 11/23/1984 | | 400 | 48.38 | American Stores Co New |
| 3-00000 | 1/31/1985 | 11/23/1984 | | 5 | 48.25 | American Stores Co New |
| 3-00000 | 1/31/1985 | 11/21/1984 | 114 | | 52.75 | American Stores Co New |
| 3-00000 | 1/31/1985 | 11/21/1984 | | 114 | 52.00 | American Stores Co New |
| 3-00000 | 1/31/1985 | 11/20/1984 | | 440 | 70.75 | Jewel Cos Inc |
| 3-00000 | 1/31/1985 | 11/20/1984 | 440 | | 70.75 | Jewel Cos Inc |
| 3-00000 | 1/31/1985 | 11/16/1984 | | 1,387 | 86.50 | Sheller Globe Corp |
| 3-00000 | 1/31/1985 | 11/7/1984 | 1,500 | | 58.13 | Associated Dry Goods |
| 3-00000 | 1/31/1985 | 11/7/1984 | 93 | | 58.13 | Associated Dry Goods |
| 3-00000 | 1/31/1985 | 11/7/1984 | 800 | | 58.13 | Associated Dry Goods |
| 3-00000 | 1/31/1985 | 11/7/1984 | 86 | | 58.13 | Associated Dry Goods |
| 3-00000 | 1/31/1985 | 11/7/1984 | 3,200 | | 58.13 | Associated Dry Goods |
| 3-00000 | 1/31/1985 | 11/7/1984 | 83 | | 58.13 | Associated Dry Goods |
| 3-00000 | 1/31/1985 | 11/7/1984 | | 1,600 | 58.13 | Associated Dry Goods |
| 3-00000 | 1/31/1985 | 11/7/1984 | | 6 | 58.00 | Associated Dry Goods |
| 3-00000 | 1/31/1985 | 11/7/1984 | | 900 | 58.13 | Associated Dry Goods |
| 3-00000 | 1/31/1985 | 11/7/1984 | | 13 | 58.00 | Associated Dry Goods |
| 3-00000 | 1/31/1985 | 11/7/1984 | | 3,300 | 58.13 | Associated Dry Goods |
| 3-00000 | 1/31/1985 | 11/7/1984 | | 16 | 58.00 | Associated Dry Goods |
| 3-00000 | 1/31/1985 | 11/5/1984 | | 996 | 90.13 | Associated Dry Goods Corp |
| 3-00000 | 1/31/1985 | 11/5/1984 | | 554 | 90.13 | Associated Dry Goods Corp |
| 3-00000 | 1/31/1985 | 11/5/1984 | | 2,052 | 90.13 | Associated Dry Goods Corp |
| 3-00000 | 1/31/1985 | 11/5/1984 | 996 | | 90.13 | Associated Dry Goods Corp |
| 3-00000 | 1/31/1985 | 11/5/1984 | 554 | | 90.13 | Associated Dry Goods Corp |
| 3-00000 | 1/31/1985 | 11/5/1984 | 2,052 | | 90.13 | Associated Dry Goods Corp |
| 3-00000 | 1/31/1985 | 10/24/1984 | | 175 | 108.75 | Emhart Corp Va |

**IA Business Backdated Trades on the 2-90000 and 3-00000 Counterparty Accounts
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 3-00000 | 1/31/1985 | 10/24/1984 | 175 | | 108.75 | Emhart Corp Va |
| 3-00000 | 1/31/1985 | 10/22/1984 | 500 | | 33.88 | Emhart Corp Va |
| 3-00000 | 1/31/1985 | 10/22/1984 | 80 | | 33.75 | Emhart Corp Va |
| 3-00000 | 1/31/1985 | 10/22/1984 | | 600 | 33.88 | Emhart Corp Va |
| 3-00000 | 1/31/1985 | 10/22/1984 | | 19 | 33.75 | Emhart Corp Va |
| 3-00000 | 2/28/1985 | 1/23/1985 | 1,700 | | 10.25 | International Harvester Co |
| 3-00000 | 2/28/1985 | 1/21/1985 | | 2,668 | 30.50 | International Harvester Co |
| 3-00000 | 2/28/1985 | 12/20/1984 | 4,878 | | 30.75 | Intl Harvester Co |
| 3-00000 | 5/31/1985 | 4/18/1985 | 11,389 | | 36.75 | Ames Dept Stores |
| 3-00000 | 5/31/1985 | 1/23/1985 | 6,637 | | 10.13 | International Harvester Co |
| 3-00000 | 8/31/1985 | 7/25/1985 | 1,500 | | 9.63 | International Harvester Co |
| 3-00000 | 8/31/1985 | 7/23/1985 | | 1,454 | 34.38 | International Harvester Co |
| 3-00000 | 8/31/1985 | 4/18/1985 | | 11,389 | 36.75 | Ames Dept Stores |
| 3-00000 | 9/30/1985 | 7/25/1985 | 3,952 | | 9.50 | International Harvester Co |
| 3-00000 | 9/30/1985 | 7/24/1985 | | 780 | 35.38 | Laidlaw Inds Inc |
| 3-00000 | 9/30/1985 | 7/26/1985 | 860 | | 18.25 | Laidlaw Inds Inc |
| 3-00000 | 10/31/1985 | 9/10/1985 | 206 | | 48.63 | Owens Ill Inc |
| 3-00000 | 10/31/1985 | 9/10/1985 | 2,200 | | 48.75 | Owens Ill Inc |
| 3-00000 | 10/31/1985 | 7/26/1985 | 700 | | 18.38 | Laidlaw Inds Inc |
| 3-00000 | 11/30/1985 | 10/25/1985 | | 45 | 154.88 | Atlantic Richfield Co |
| 3-00000 | 11/30/1985 | 10/25/1985 | | 2,330 | 154.88 | Atlantic Richfield Co |
| 3-00000 | 11/30/1985 | 10/29/1985 | 100 | | 67.00 | Atlantic Richfield Co |
| 3-00000 | 11/30/1985 | 10/29/1985 | 8 | | 66.88 | Atlantic Richfield Co |
| 3-00000 | 11/30/1985 | 10/29/1985 | 3,400 | | 67.00 | Atlantic Richfield Co |
| 3-00000 | 11/30/1985 | 9/12/1985 | | 802 | 140.25 | Owens Ill Inc |
| 3-00000 | 12/31/1985 | 11/19/1985 | 502,000 | | 143.00 | International Lease Fin Corp |
| 3-00000 | 12/31/1985 | 11/19/1985 | | 902,000 | 143.00 | International Lease Fin Corp |
| 3-00000 | 12/31/1985 | 11/19/1985 | 485,000 | | 143.00 | International Lease Fin Corp |
| 3-00000 | 12/31/1985 | 11/19/1985 | | 600,000 | 143.00 | International Lease Fin Corp |
| 3-00000 | 12/31/1985 | 11/19/1985 | | 985,000 | 143.00 | International Lease Fin Corp |
| 3-00000 | 12/31/1985 | 10/29/1985 | 2,192 | | 66.88 | Atlantic Richfield Co |